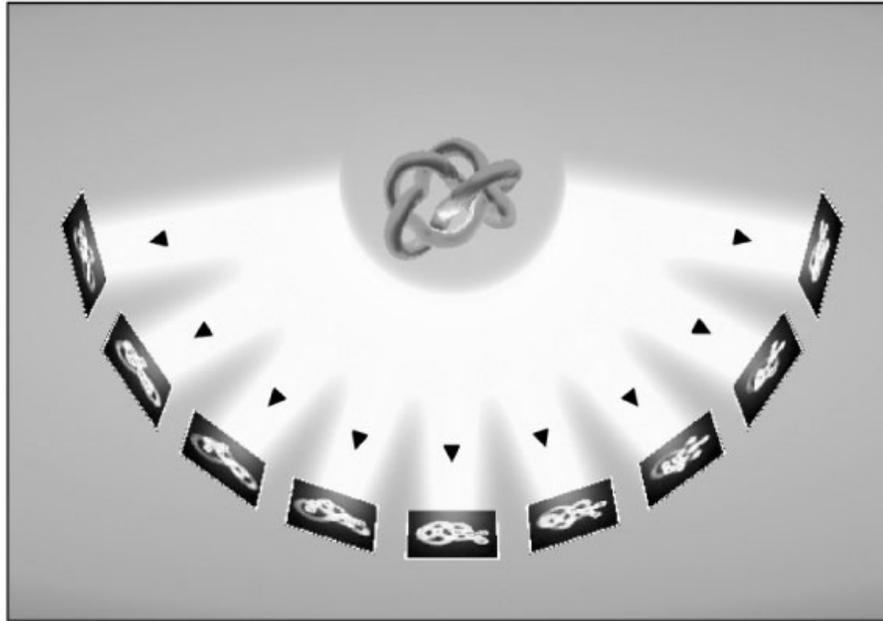


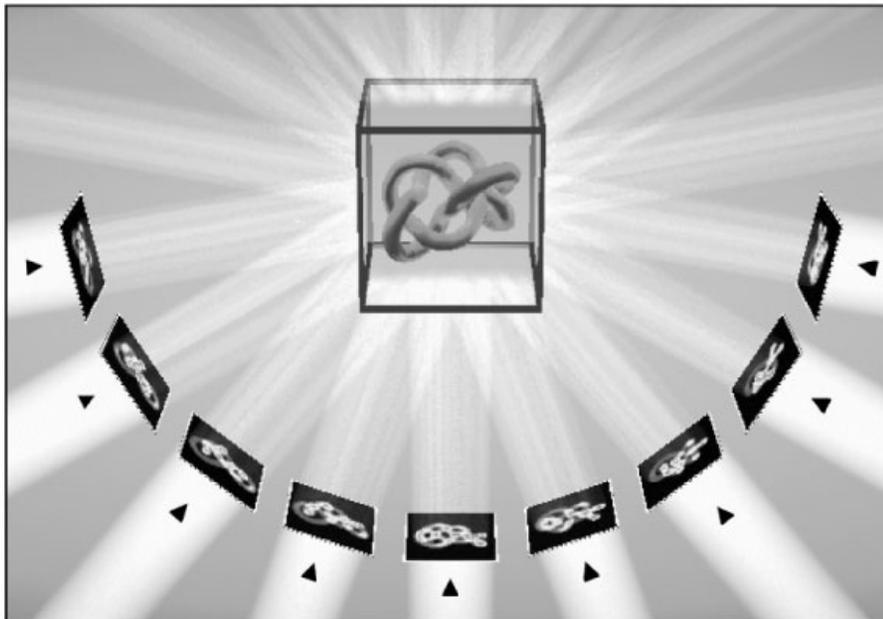
# Random Conical tilt 3D reconstruction

- Central section theorem
- Euler angles
- Principle of conical tilt series
- Missing cone artefact
- Multivariate statistical analysis
- Early 3D studies and negative staining problems
- Perspectives and new trends

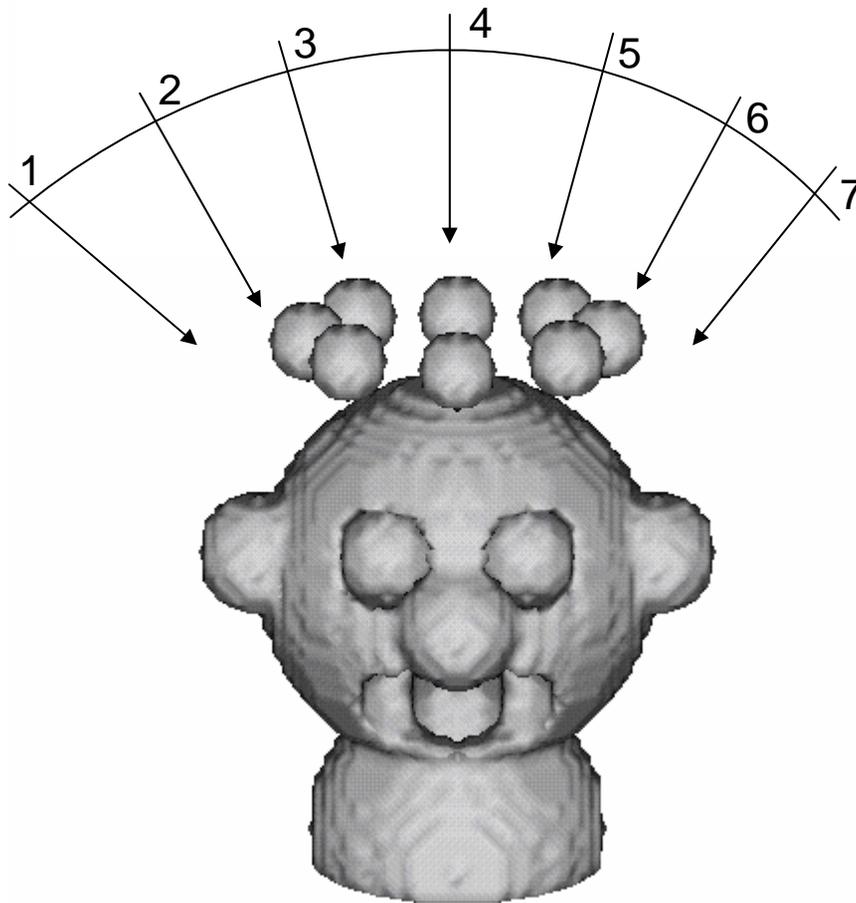
**Nicolas Boisset CNRS**  
**Département de Biologie Structurale**  
**Institut de Minéralogie et de Physique des Milieux Condensés**  
**I.M.P.M.C. UMR 7590 CNRS, Université Pierre & Marie Curie**  
**140 Rue de Lourmel, 75015 Paris**  
**email: [nicolas.boisset@impmc.jussieu.fr](mailto:nicolas.boisset@impmc.jussieu.fr)**



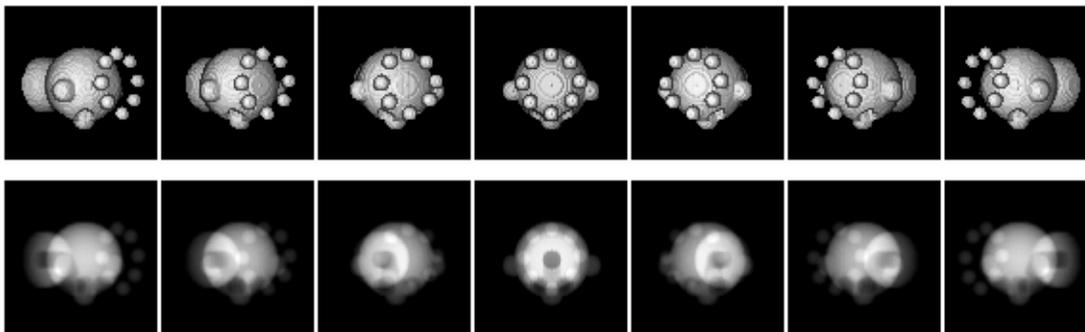
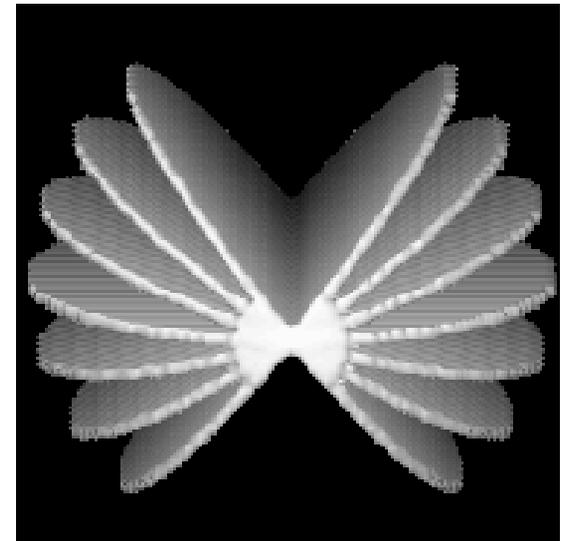
Tilt series



Back-projection &  
3D reconstruction



## Central projection theorem



1 2 3 4 5 6 7

In reciprocal space, every 2D projection of a 3D object corresponds to a central section in the 3D Fourier transform of the object. Each central section is orthogonal to the direction of projection.

## Constraints of cryoEM on biological objects :

Work with low electron dose ( $\sim 10e^-/\text{\AA}^2$ )

=> the less exposures, the better.

Images have a low signal-to-noise ratio

Compromise defocus level with resolution (CTF)

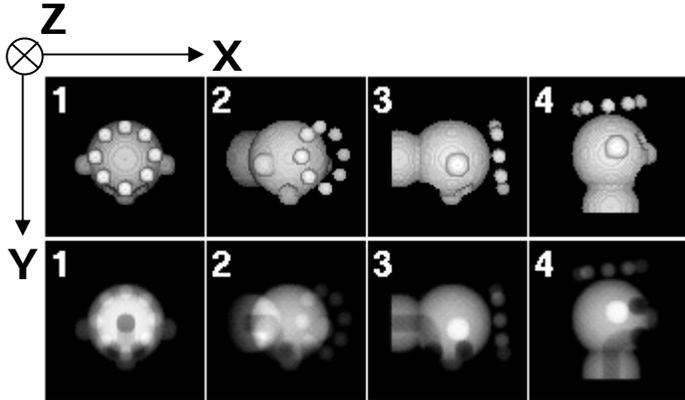
Computing 2D or 3D numeric averages

→ (only one conformation assumed in the sample)

Use internal symmetries of the objects :

helicoïdal symmetry, icosaedral symmetry,

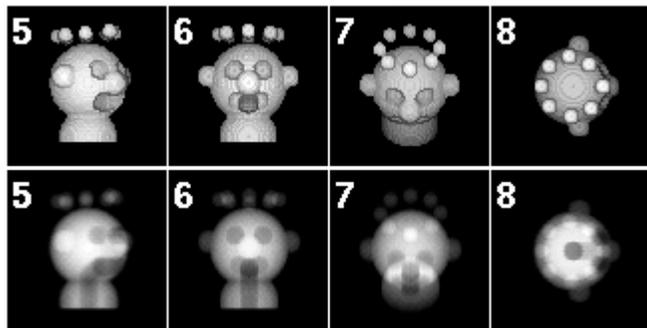
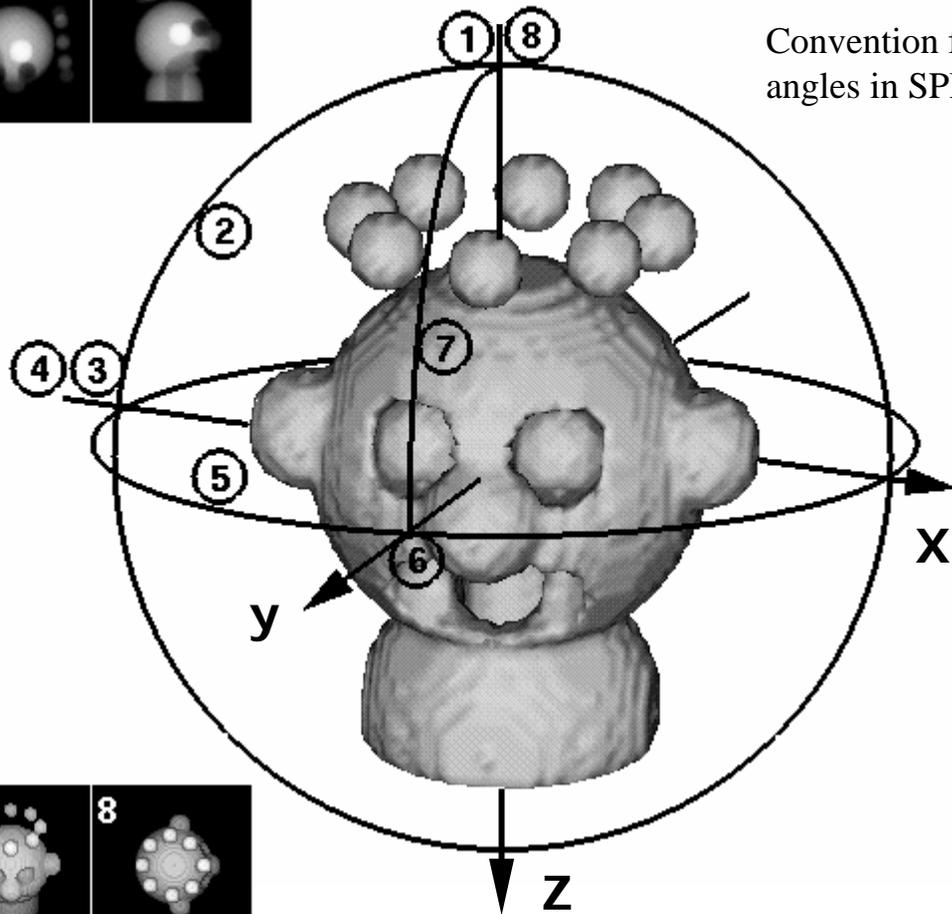
2D crystals, or no symmetry at all...



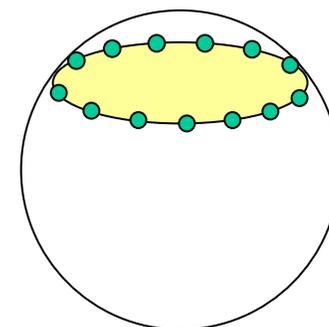
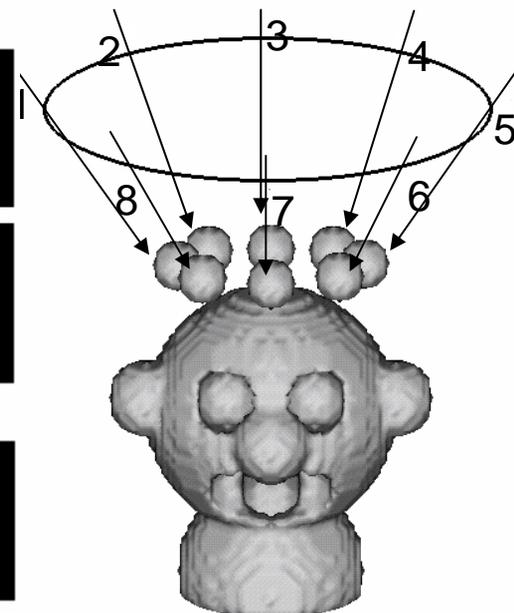
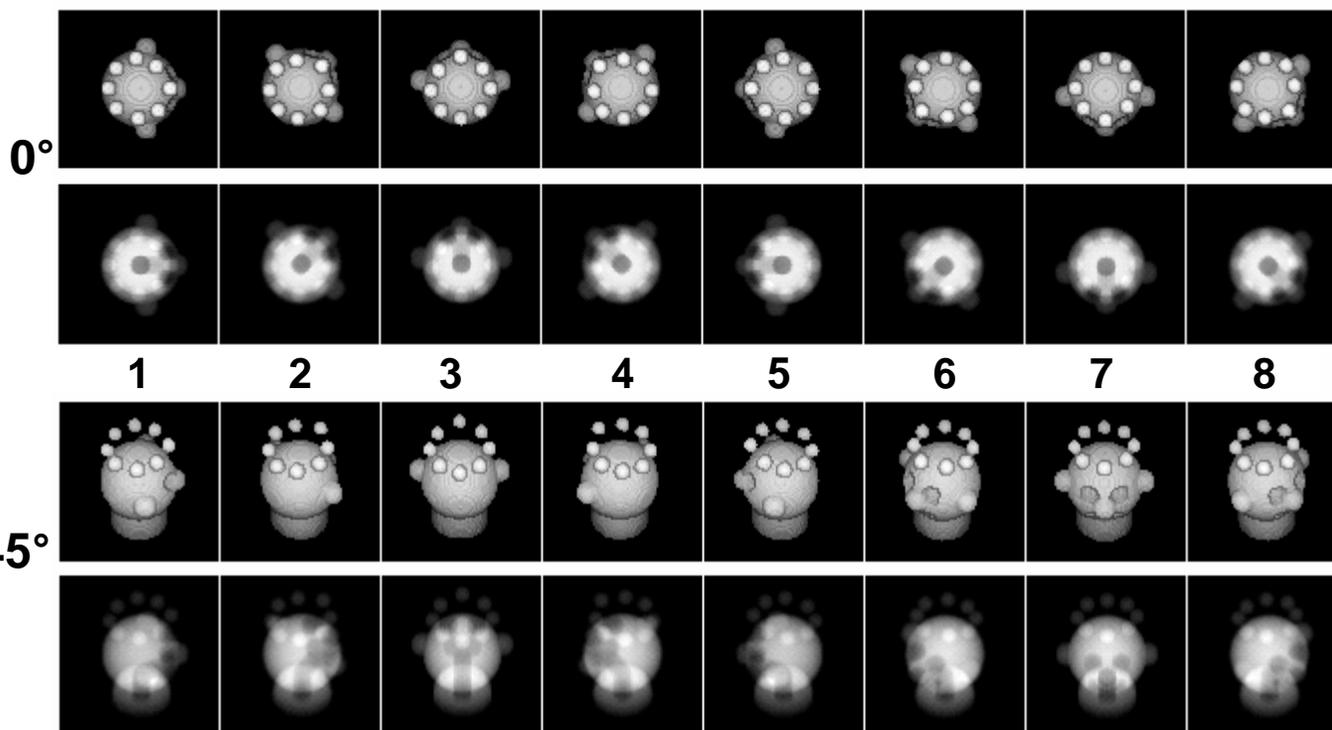
# Euler angles

Convention for Euler angles in SPIDER

	Phi	Theta	Psi
	$\varphi$	$\theta$	$\psi$
<hr style="border-top: 1px dashed black;"/>			
1:	0	0	0
2:	0	45	0
3:	0	90	0
4:	0	90	90
5:	-45	90	90
6:	-90	90	90
7:	-90	45	90
8:	0	0	90



With only two exposures a conical tilt series can be generated

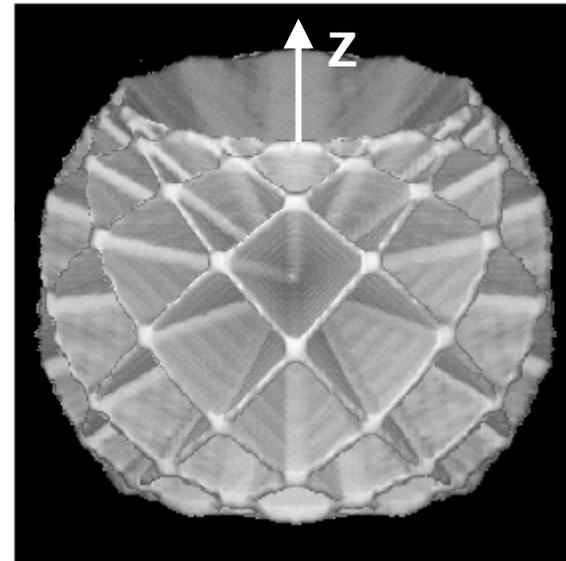


Radermacher, M., Wagenknecht, T., Verschoor, A. & Frank, J. Three-dimensional reconstruction from a single-exposure, random conical tilt series applied to the 50S ribosomal subunit of *Escherichia coli*. *J Microsc* **146**, 113-36 (1987).

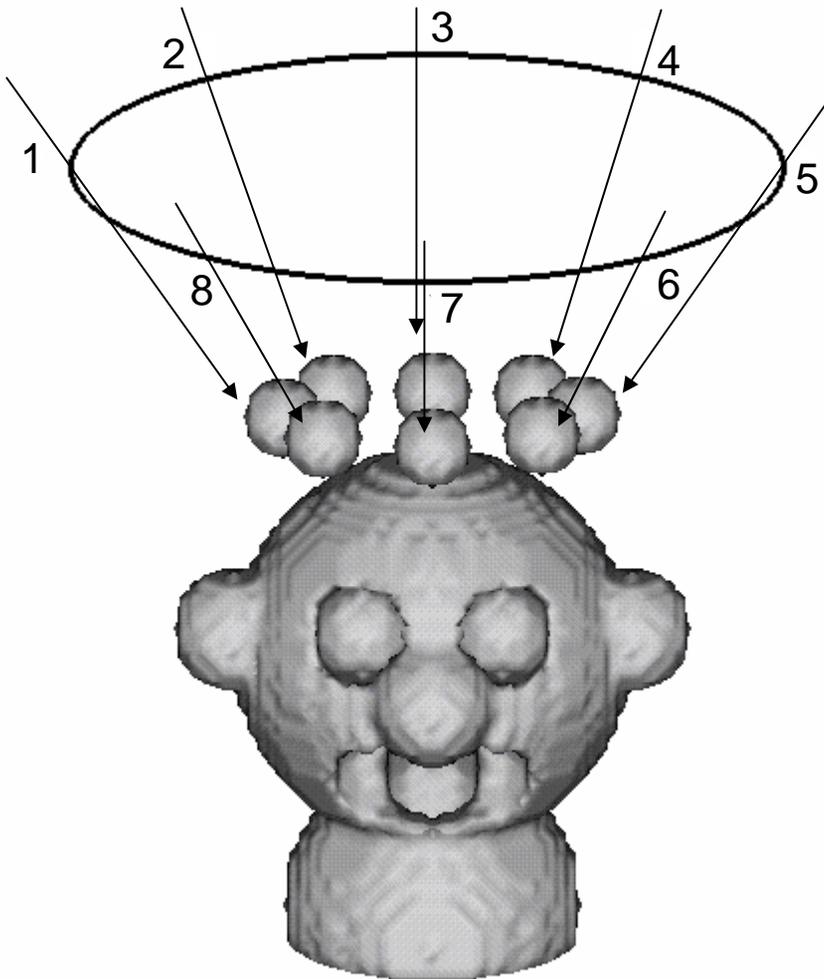
Angular distribution represented on a spherical angular map

## Principle of random conical tilt series

You just need to determine the Euler angles specific to each tilted-specimen image.



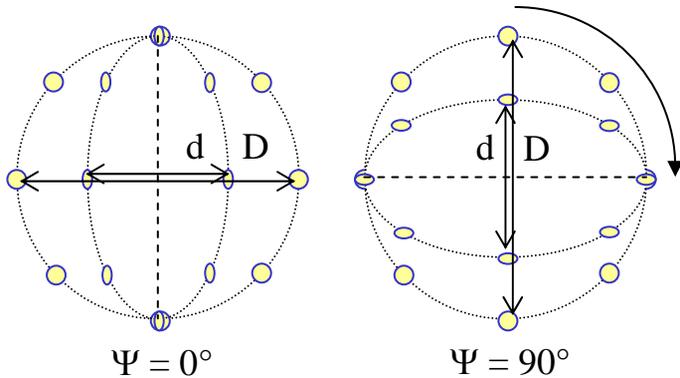
Reciprocal space



Radermacher, M. (1988) Three-dimensional reconstruction of single particles from random and nonrandom tilt series. *J Electr. Microsc. Tech.* **9(4)**: 359-394.

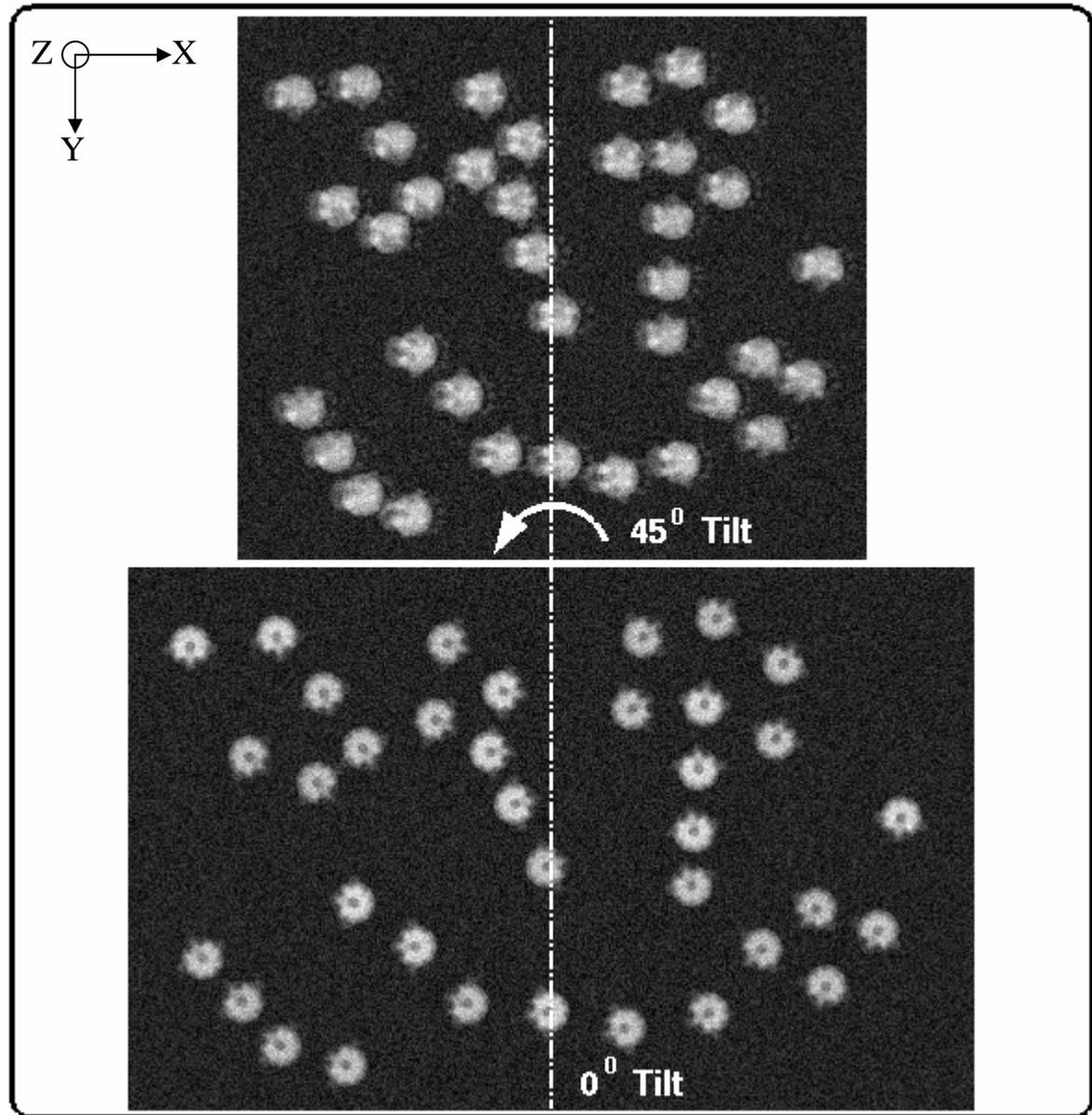
Interactive particle selection. Picking of image pairs ( $45^\circ$  &  $0^\circ$ ) provides a mean to compute the :

- direction of tilt axis ( $\psi$ )
- and the tilt angle ( $\theta$ ).



$\Psi$  = in-plane direction of tilt axis  
 If  $\Psi$  parallel to axis Y, then  $\Psi = 0^\circ$

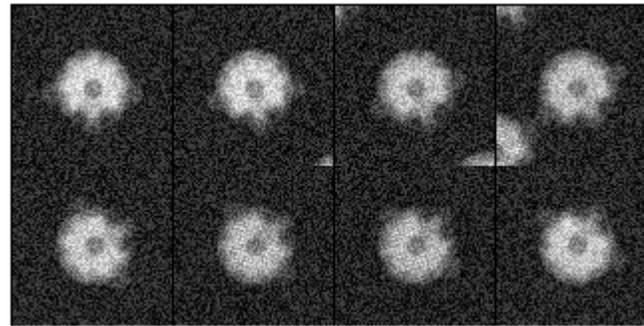
$\theta$  = Tilt angle  $\Rightarrow \cos(\theta) = d / D$   
 (but you don't know if it is + or -  $\theta$ )



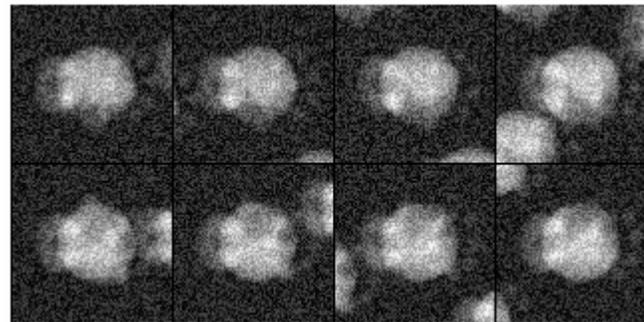
2D projections are identical, except for an in plane rotation corresponding to Euler angle  $\phi$ .

2D projections are not identical due to the tilt. Moreover, neighboring particles start to overlap

## Interactive windowing at $0^\circ$ and $45^\circ$ tilt



$0^\circ$

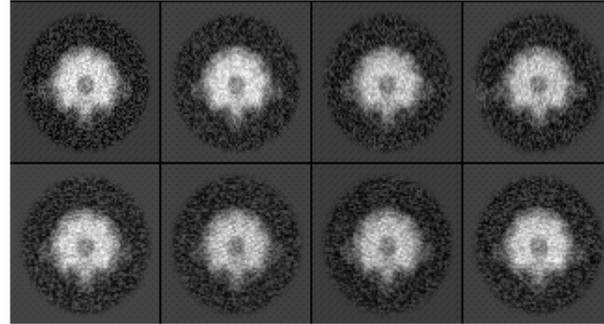


$45^\circ$

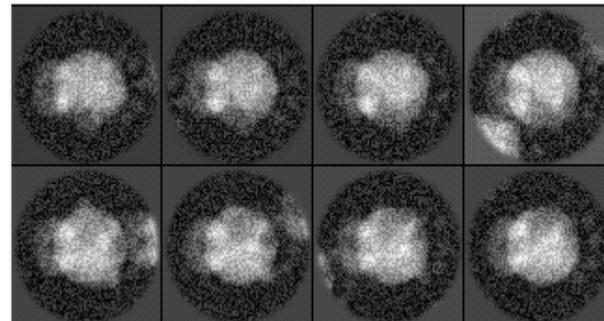
Rotation of each  $0^\circ$   
projection by its  $-\phi$  angle

A circular mask hides  
(up to a certain point) the  
neighboring particles.

2D alignment of untilted-specimen  
images and computation of angle  $\phi$

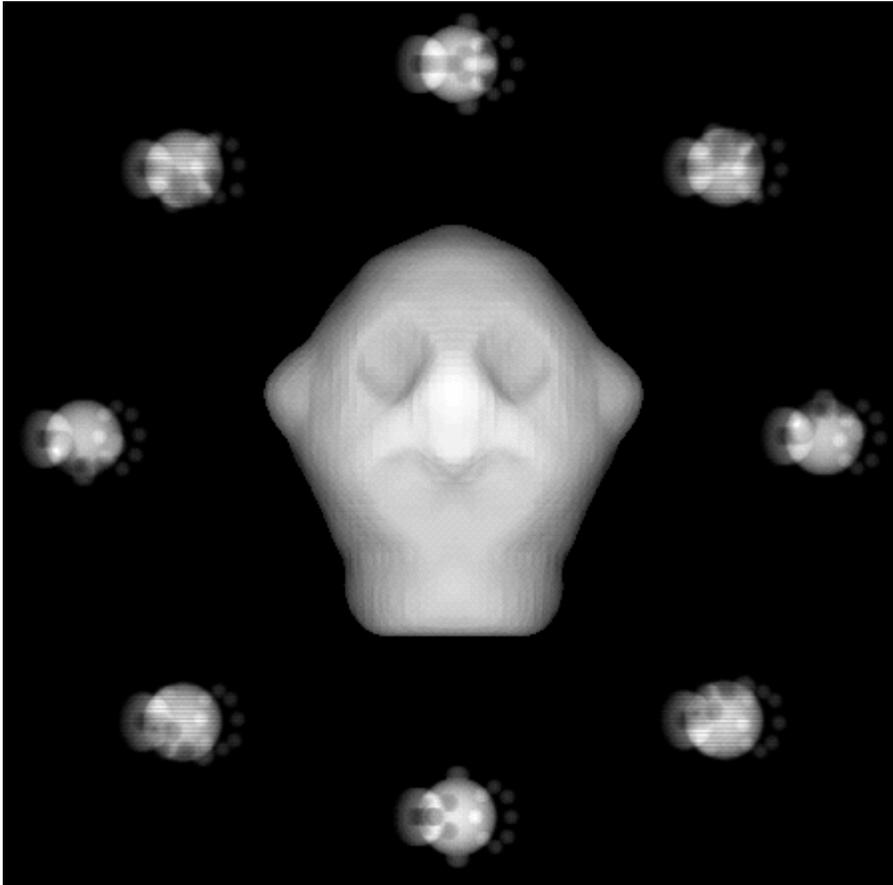


Centering and masking of tilted-  
specimen images



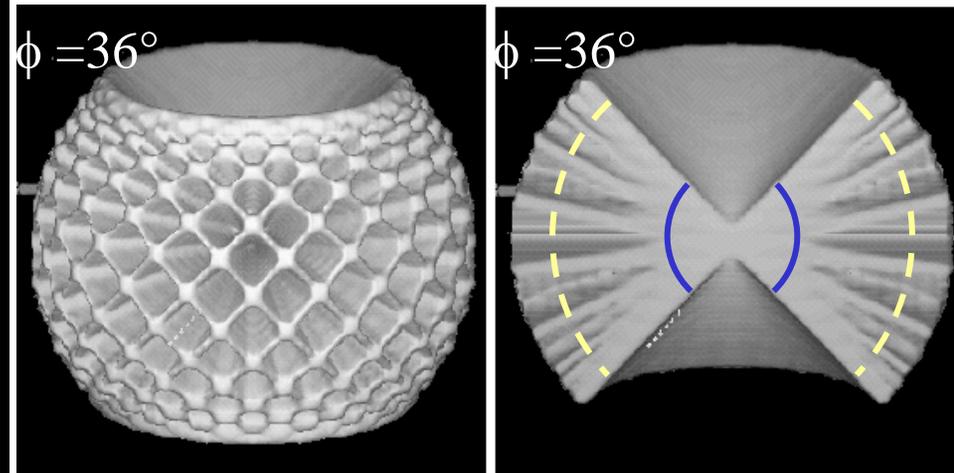
# Simple back-projection

Why does it look so bad?



Reciprocal space

half-volume



Uneven distribution of the signal

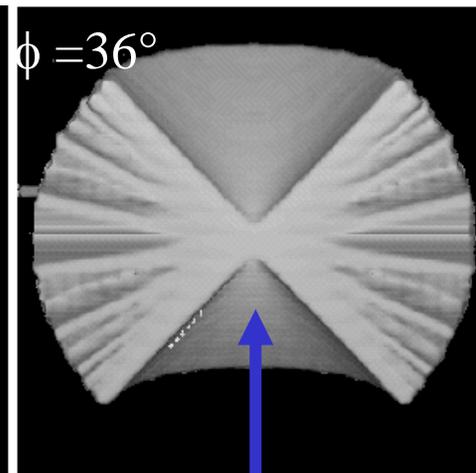
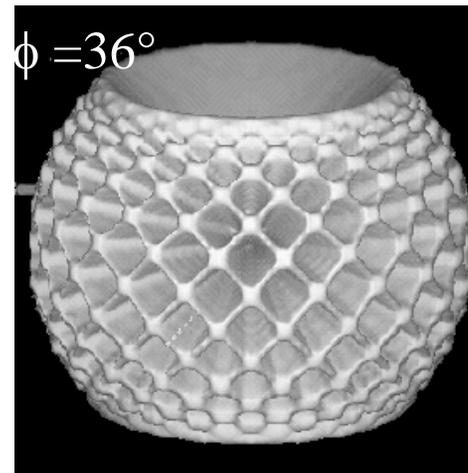
Once the 3 Euler angles are determined, the 3D reconstruction can be performed from the tilted-specimen projections. The simple back-projection is nothing more than adding in reciprocal space the FT of the 2D projections in their relative orientations (waffle-like distribution of central sections), followed by Fourier transform of this 3D distribution to come back in real space.

# Weigthed back-projection

It is better, but we have a non-isotropic reconstruction. Why ?

Reciprocal space

half-volume



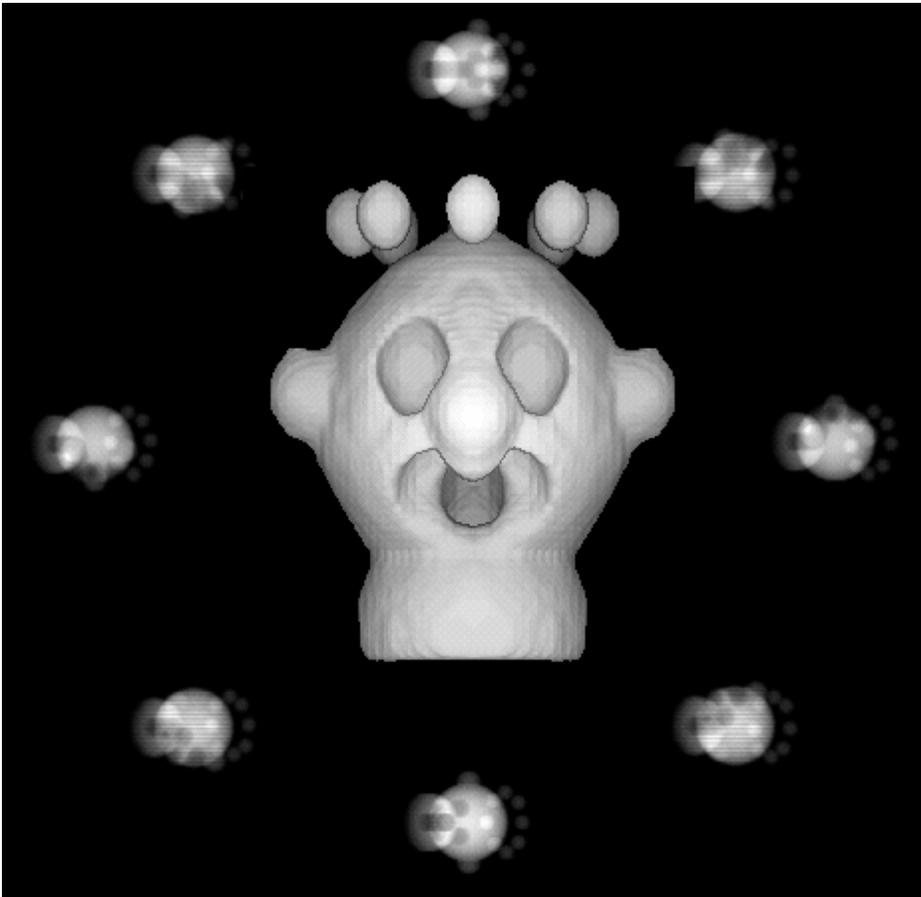
Missing cône

Similar as previously, but after applying a band-pass filtering or **R\* weighting** of the signal (lowering contribution in low spatial frequencies).

## Simultaneous Iterative reconstruction techniques (SIRT)

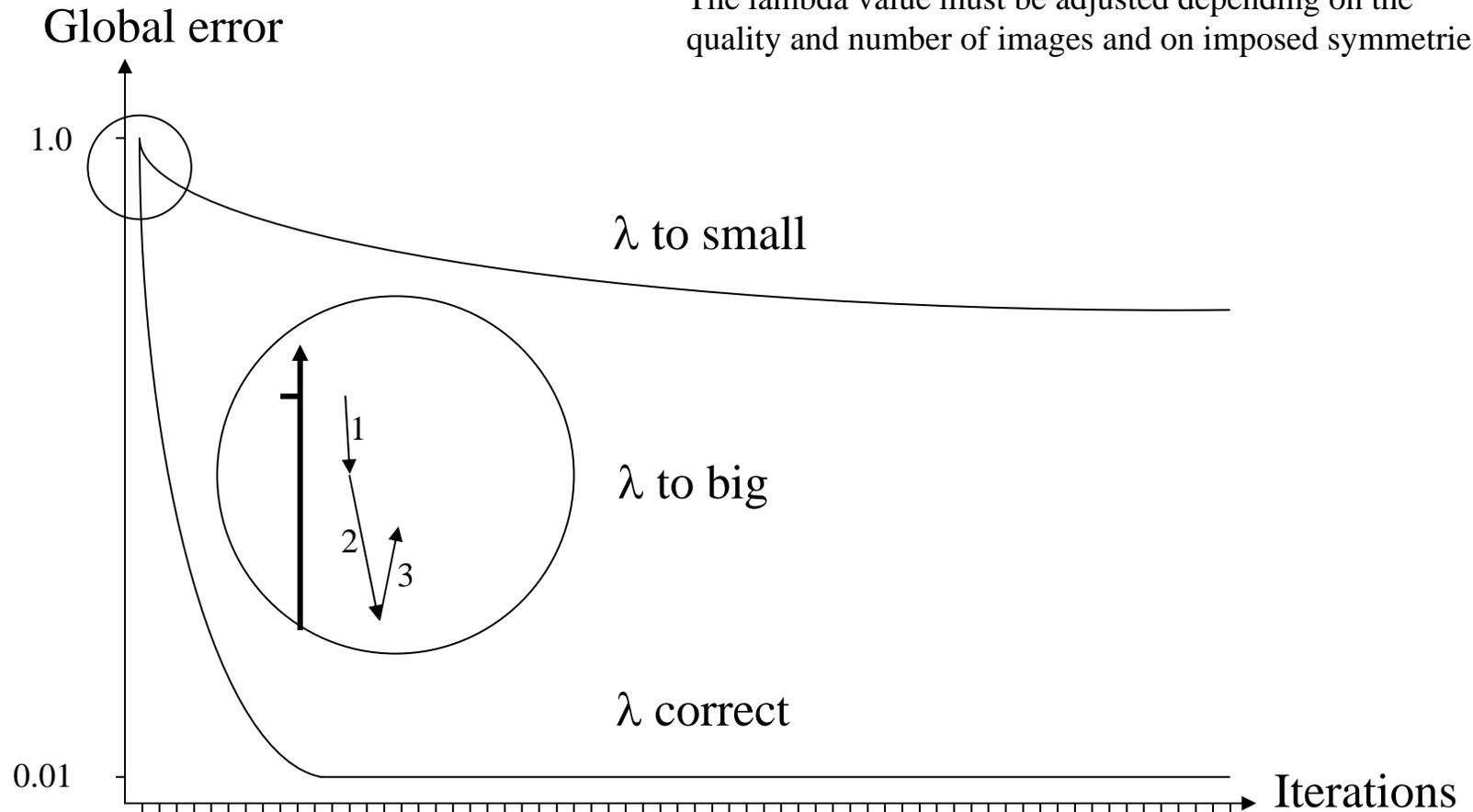
### Real space & iterative:

In real space with iterative methods, a starting volume is computed by simple back-projection. Then, the volume is re-projected in its original directions and 2D projection maps are compared with the experimental EM images. The difference maps [(EM) minus (2D projection of the volume)] are computed and back-projected to correct the 3D reconstruction volume. To avoid “over-correcting” the structure, the 2D difference maps are multiplied by an attenuation factor  $\lambda$ , (with  $\lambda \sim 0.5 \cdot E-04$  to  $0.1 \cdot E-06$ ). This process is iterated and at each step the “global error” between EM images and the computed volume is measured to check improvement.



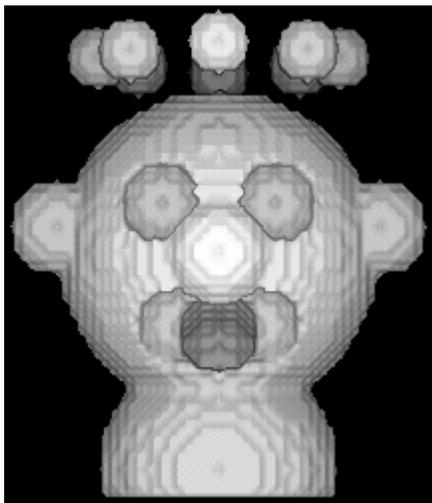
# Correct $\lambda$ value ?

The lambda value must be adjusted depending on the quality and number of images and on imposed symmetries

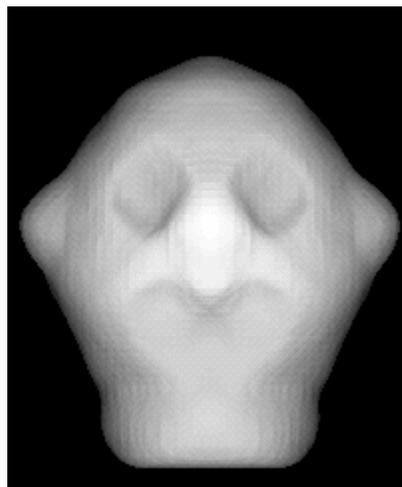


# Comparing 3D reconstruction techniques

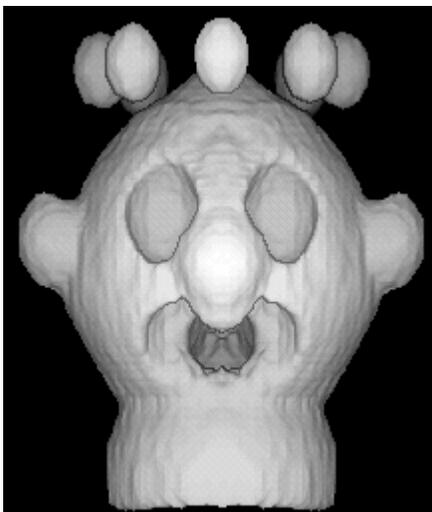
Original object



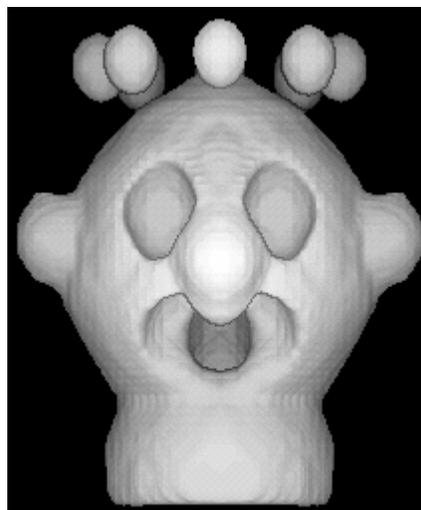
Simple  
back-projection



Weighed  
back-projection



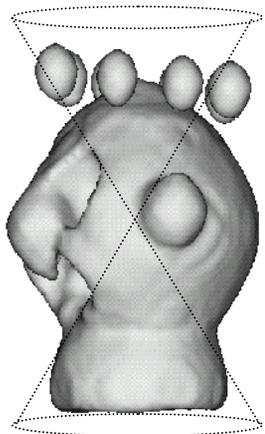
SIRT



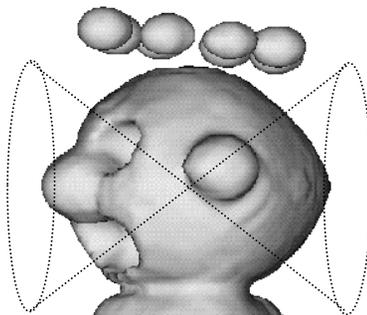
# The missing cone artifact

Top views

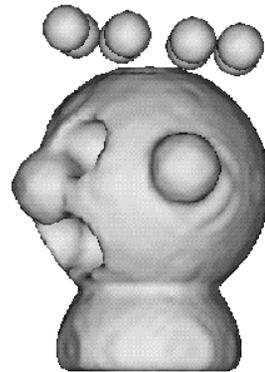
Front views



+

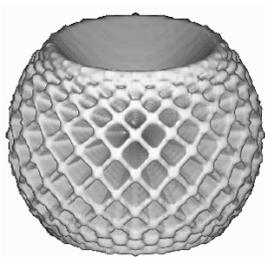
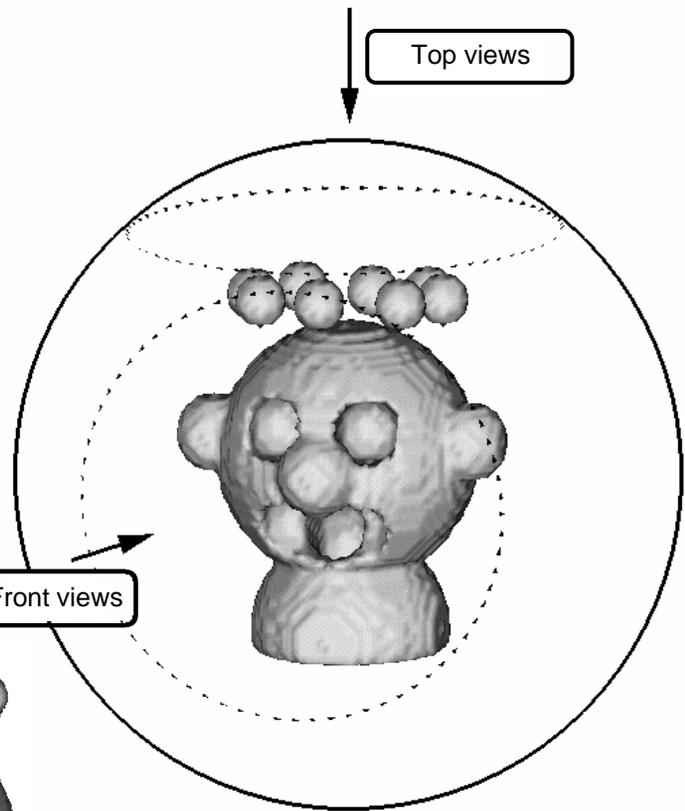


=

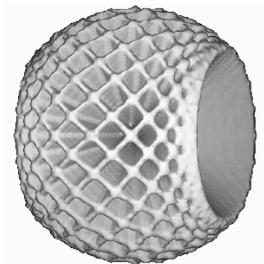


Front views

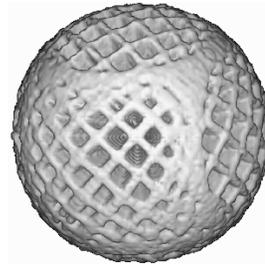
Top views



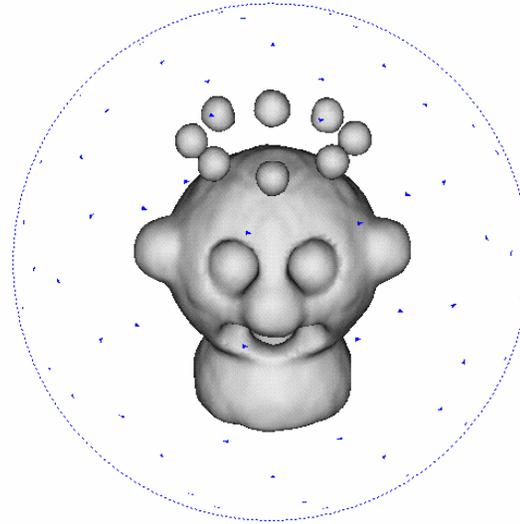
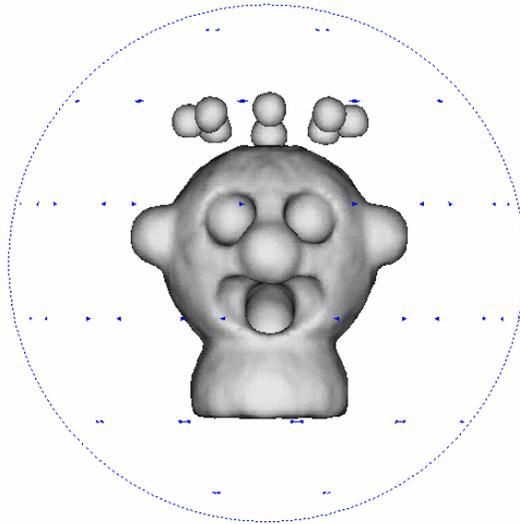
+



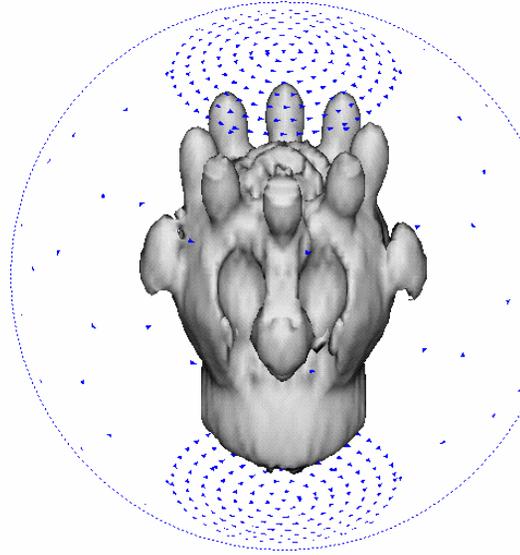
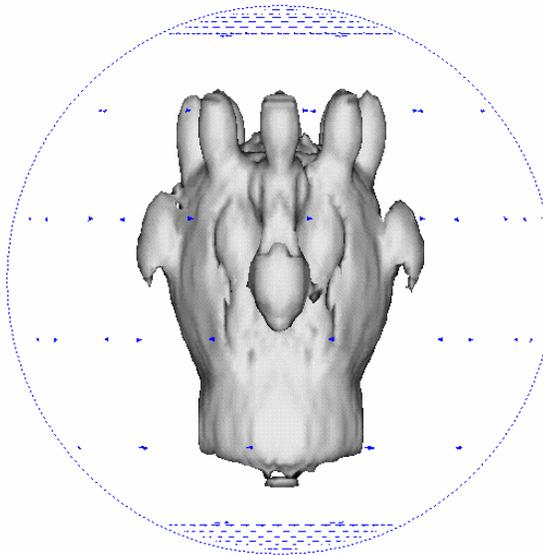
=



In rare occasions, a single overabundant preferential orientation can distort your structure when using SIRT



even angular  
distribution

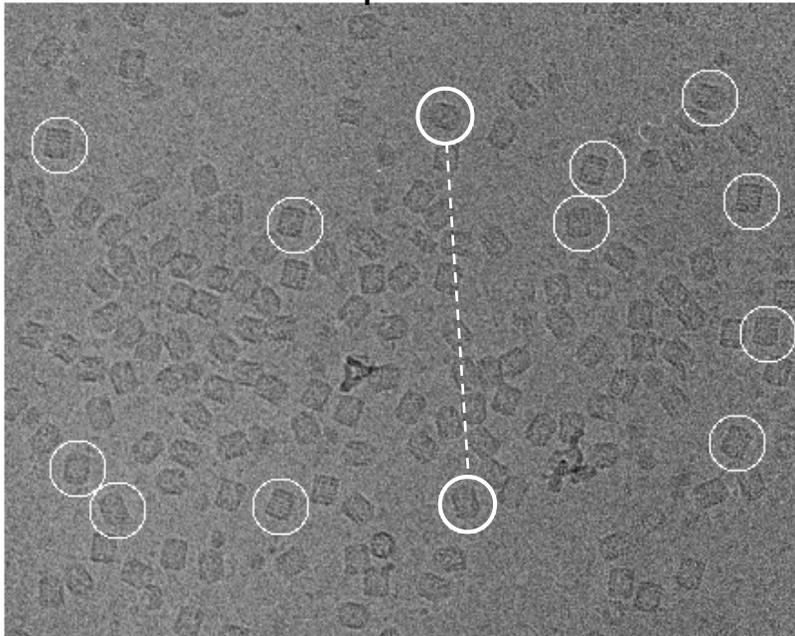


uneven angular  
distributions

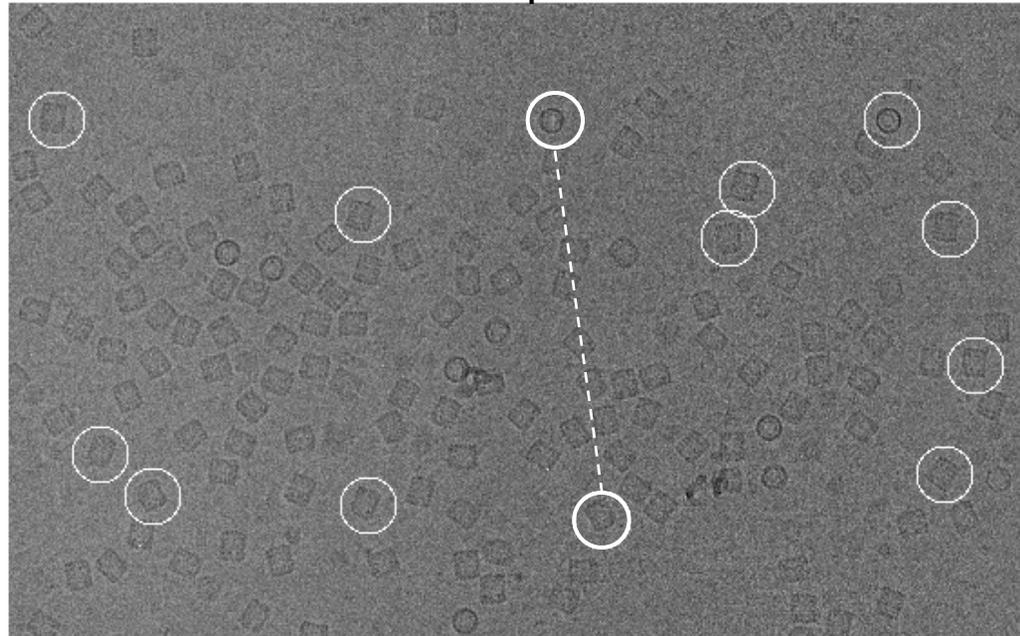
Boisset N., Penczek P., Taveau J.C., You V., de Haas F., Lamy J. (1998) Overabundant single-particle electron microscope views induce a three-dimensional reconstruction artifact. *Ultramicroscopy*, **74**: 201-207.

Interactive particle picking with determination of tilt axis direction ( $\psi$ ) and tilt angle ( $\theta$ )

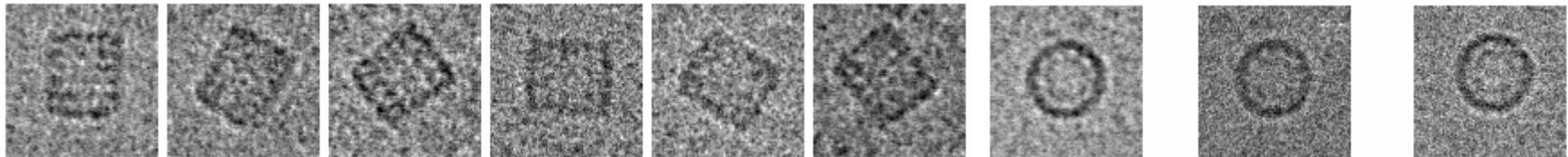
Titled-specimen 45°



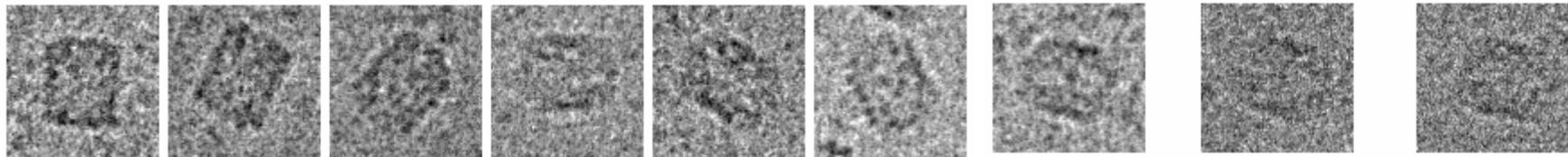
Untilted specimen 0°



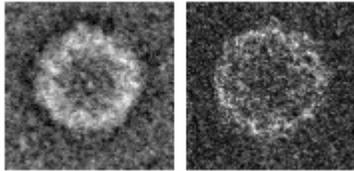
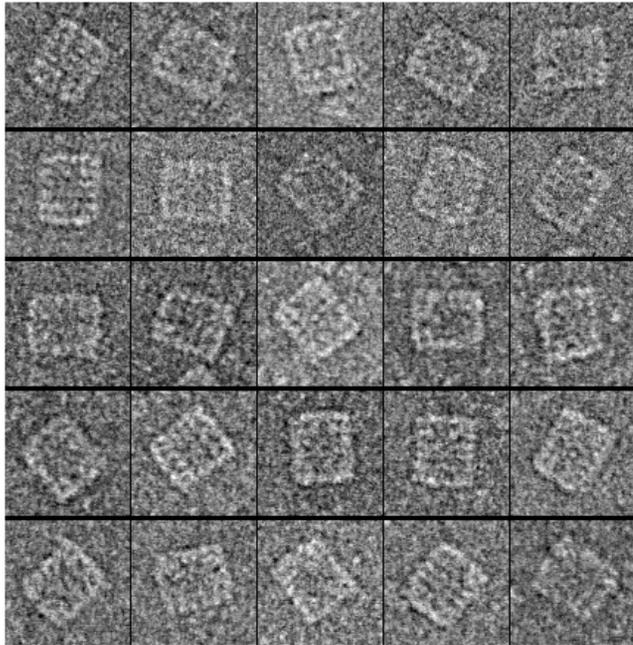
0°



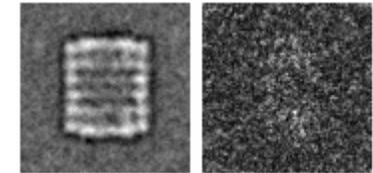
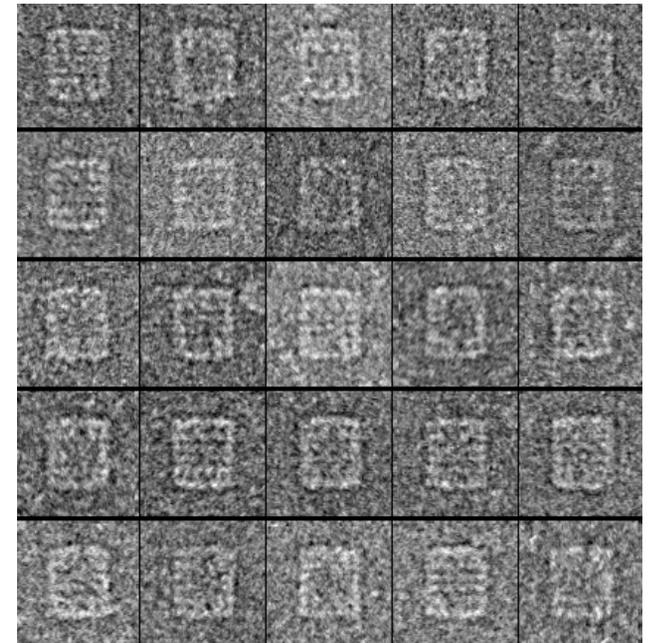
45°



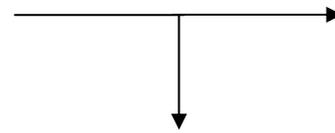
Original side views



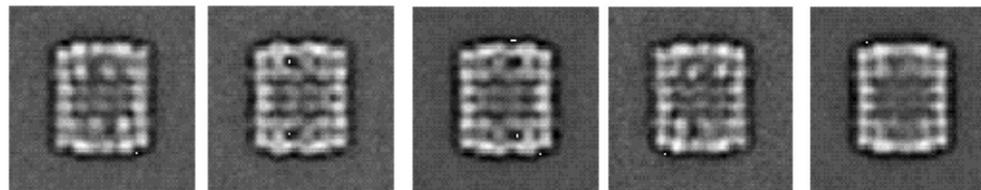
Aligned side views



Alignment



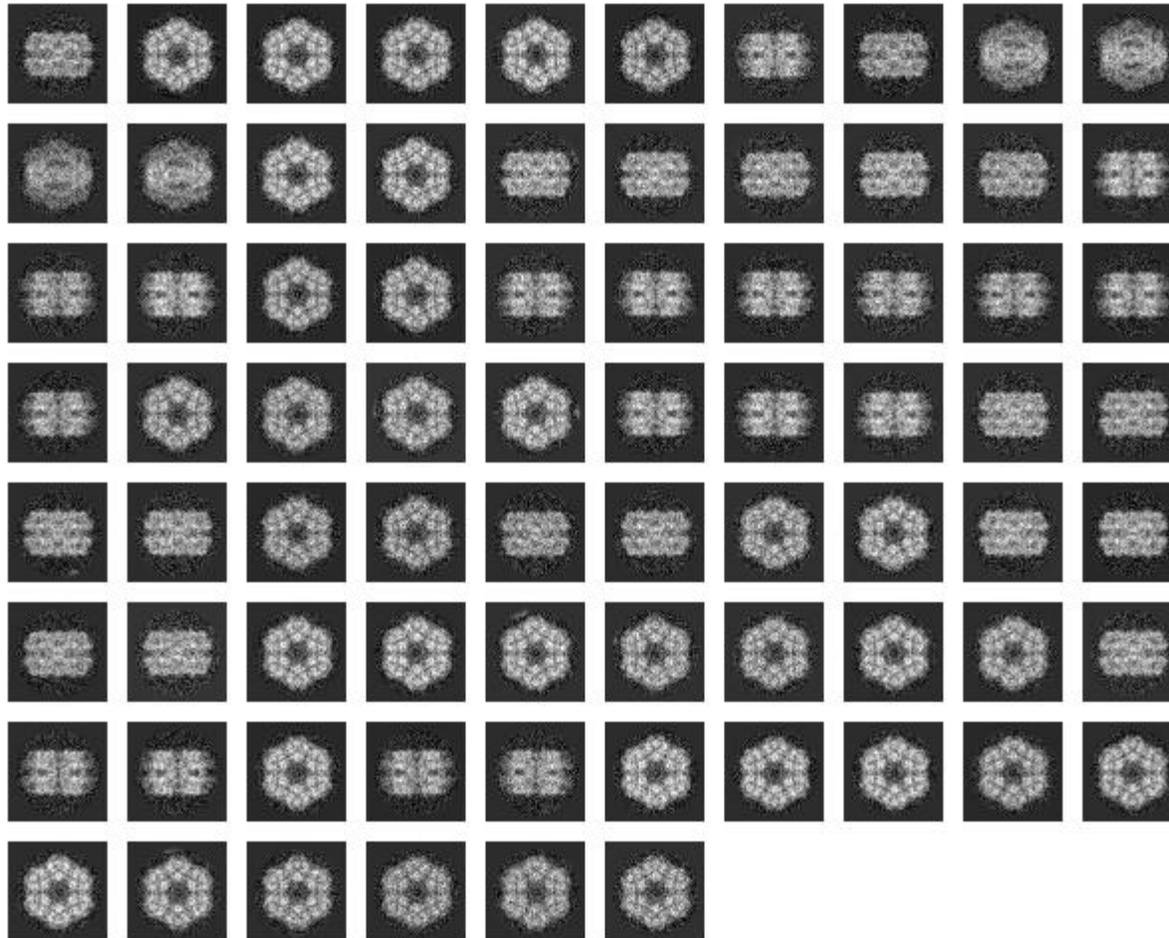
Determination  
of angle ( $\phi$ )



MSA and clustering  $\rightarrow$  5 views

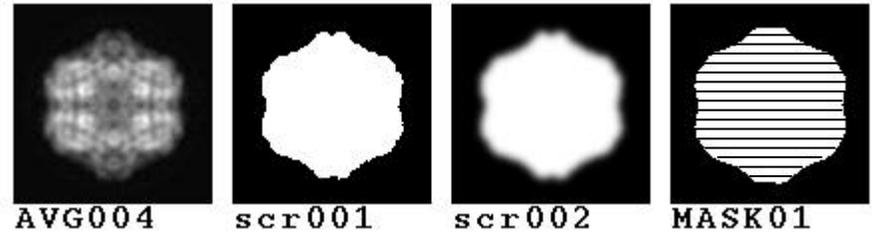
A simplified and therefore mathematically incorrect description of Correspondence analysis (CORAN)  
To get the “flavour” of this method.

You have normalized, aligned a set of noisy images and you want to sort them automatically.  
*(For correspondence analysis no negative density is tolerated, while for principal component analysis (PCA) you don't care).*



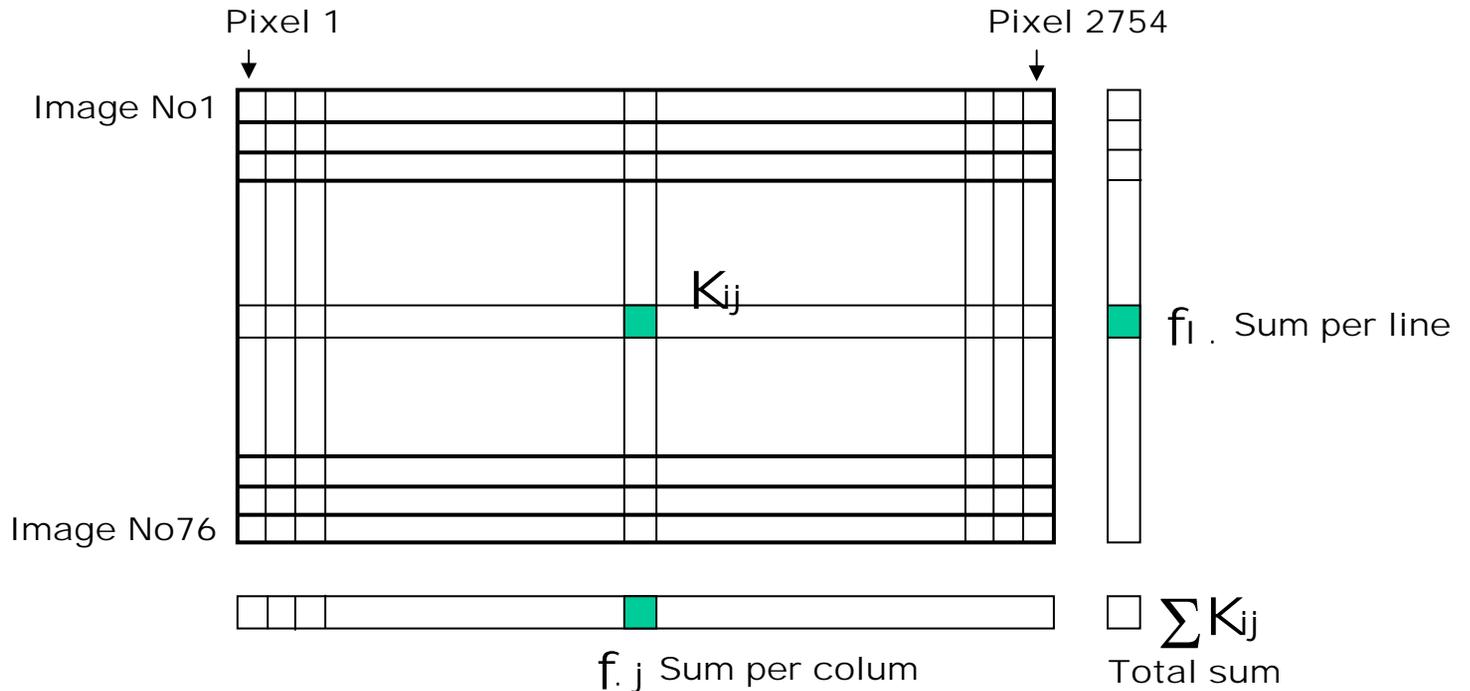
Latin is like mathematics an integrated language. For example in latin “*Intelligenti pauca*” can be translated by “intelligent people understand each other with a few words ! ”

1- Create a mask following the shape of the total average



2- For each image, extract all densities from the pixels falling within the mask and re-dispose then into a line.

3- Place theses lines of densities into a table also called “TABLE DE CONTIGENCE”



4- An other way to consider the data is to say that these densities are coordinates in a multidimensional space.  
 5- Hence in this example, each image having 2754 pixels under le mask, our data set corresponds to 76 images, that we can consider as 76 dots in a space of 2754 dimensions.

Intuitively one can guess that two identical images will have similar coordinates in the multi-dimensional space. Therefore in the multidimensional space they correspond to two dots located near each other. Conversely, two dissimilar images will correspond to two dots located far away from each other.

Multi-dimensional statistical analysis (MSA), reinforces this idea of “similarity = proximity” but it changes the coordinate system of our data set in order to reduce the number of dimensions to a number a few meaningful axes. These axes or “eigen vectors” correspond to main “trends” or “variations” within our population of images.

1. Absolute values  $\rightarrow$  frequencies

$$K_{ij} \rightarrow K_{ij} / \sum k_{ij} = f_{ij}$$

2. Euclidian distance  $\rightarrow \chi^2$  distance

$$f_{ij} \rightarrow f_{ij} / \sqrt{f_{i.} f_{.j}}$$

3. Image mass  $i = f_{i.}$

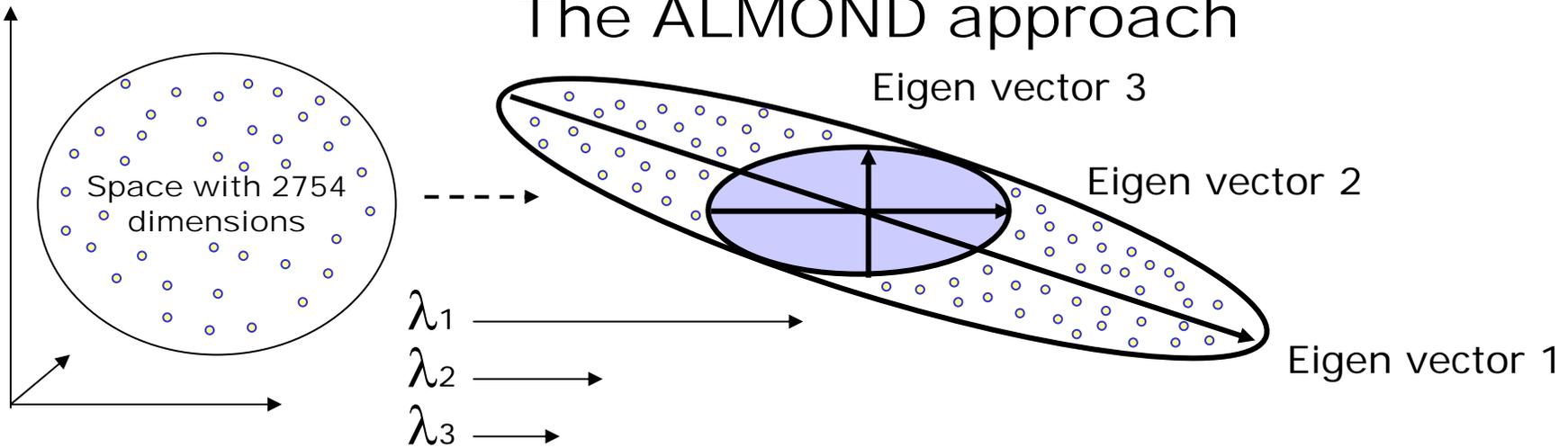
Origine changed to the center of gravity  
of the table =  $-f_{.j}$

4. Diagonalization of the covariance matrix

$$X_{ij} = (f_{ij} - f_{i.} f_{.j}) / \sqrt{f_{i.} f_{.j}}$$

equivalent to a least square fit to define new factorial axes (eigen vectors) and the coordinates of each image on these axes.

# The ALMOND approach

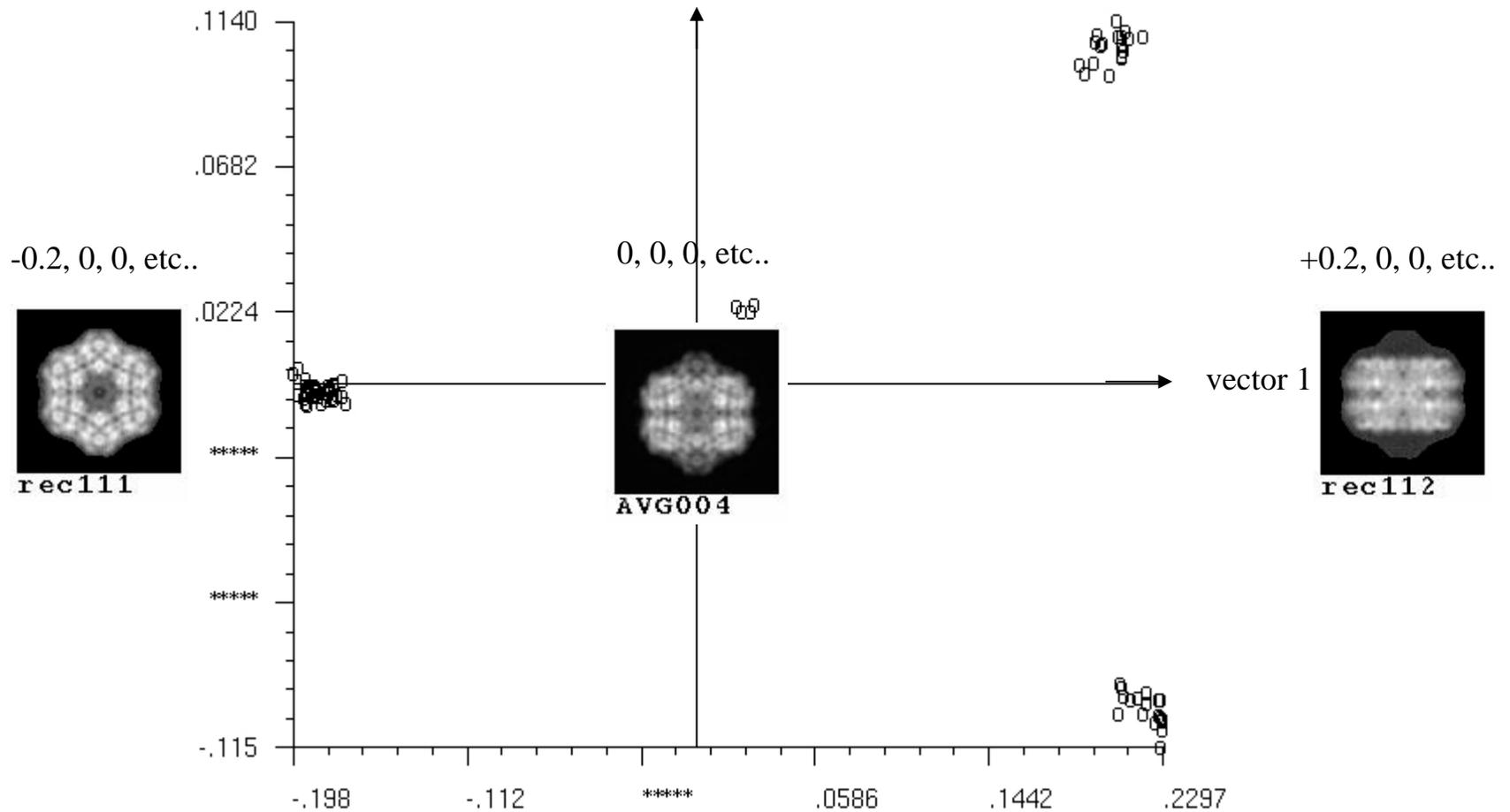


One method of diagonalization of the co-variance matrix ( $T = X' X$ ), called “la méthode de la dragée” or the “Almond method” illustrates what happens at this stage.

The original multi-dimensional space has been distorted by the chi square matrix to express the variations among the images. Schematically one can say that the cloud of 76 dots (representing our 76 images) which was originally contained in a multi-dimensional “sphere” is now contained within a multi-dimensional “almond”.

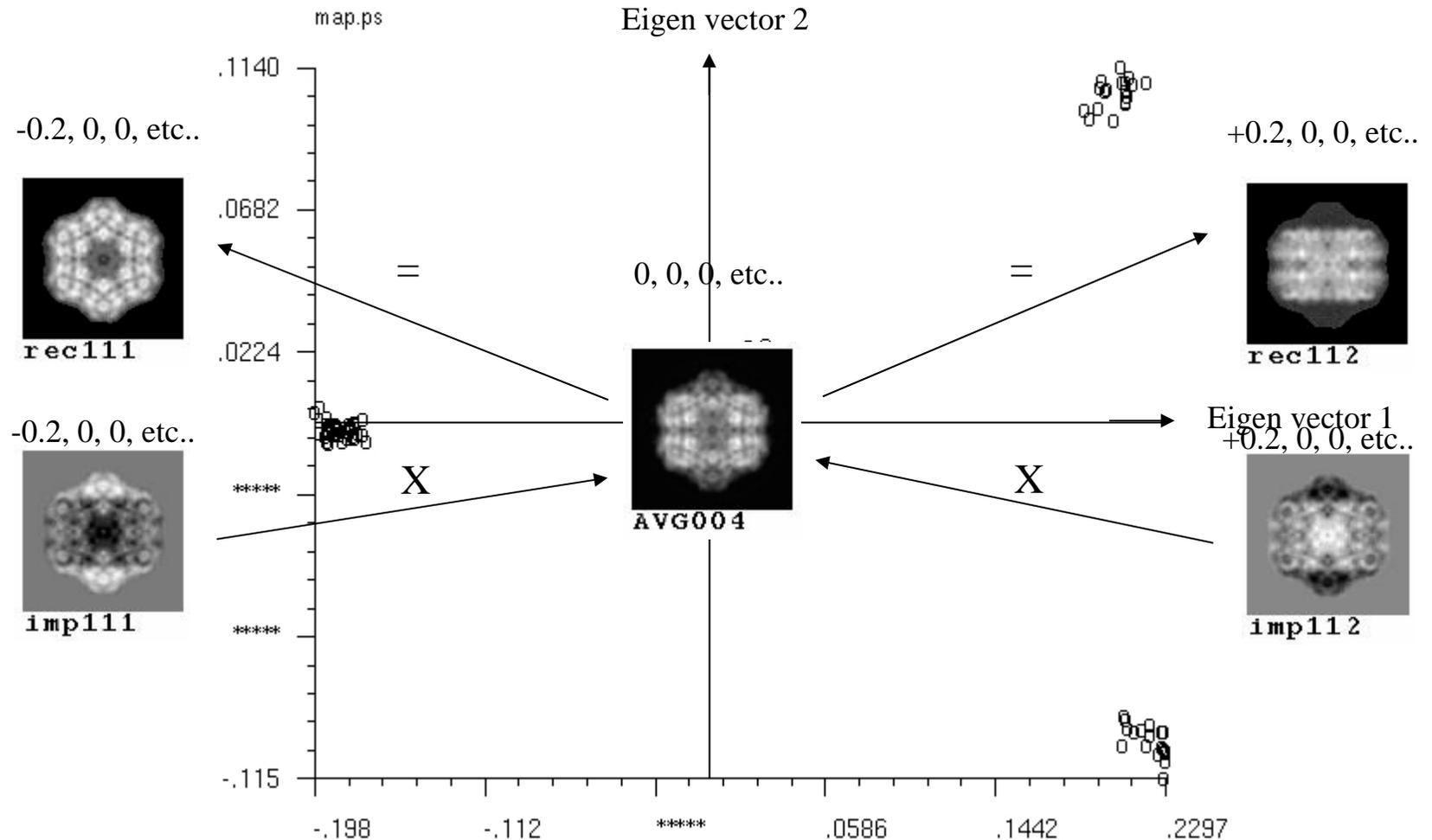
0. The origin of the new coordinate system is set to the center of gravity of the almond.
  1. The **longest dimension of the almond** corresponds to the major “trend” or variation among the image set and is defined as the first eigen vector. Its amplitude (length) corresponds to the first eigen value  $\lambda_1$ . Coordinates of our 76 dots along this new axis are calculated.
  2. Then, the **second longest dimension** of the almond, **orthogonal to the first eigen vector** is determined (width of the almond). This second direction corresponds to eigen vector number two and corresponds to the second variation among the images. The amplitude of this second vector is the second eigen value  $\lambda_2$ . Coordinates of our 76 dots along this new axis are calculated.
  3. Then the **third longest dimension** of the almond, **orthogonal to the first and second eigen vectors** is determined (thickness of the almond). This third direction corresponds to eigen vector number three and corresponds to the third variation among the images. The amplitude of this third vector is the third eigen value  $\lambda_3$ . Coordinates of our 76 dots along this new axis are calculated.
- etc.... The same process can continue until up to 75 eigen vectors are determined.

The 76 dots can be projected on planes defines by two selected eigen vectors. Here again the “proximity = similarity” rule applies, and we can identify four types of images in the example set of images. In fact, the information contained in our data is so much compressed that a set of coordinates on the eigen vectors can characterize a given image. Hence with a set of coordinates on the eigen vectors on can go all the way back to an imaginary line in the TABLE DE CONTINGENCE which can be “RECONSTITUTED” into a 2D image. For example, how would look like an imaginary image having for coordinates 0,0,0,0, etc on all eigen vectors of the analysis ?



This reconstituted image is equivalent to the global average of all images in the “Table de contingence”. On more practical terms on can explore the significance of a selected eigen vector, by reconstituting two images having coordinates 0 on all other eigen vectors, and extreme positive and negative values on the selected eigen vector. Hence, these pairs of images describe the variation expressed by the selected Eigen vector. Here vector 1 is separates top and side views.

Jean-Pierre Brétau dière and Joachim Frank designed “**reconstitution and importance images**” to express this relationship and to explore the variation related to each eigen vectors, and Marine van heel created the “**Eigen images**” to explore the contribution of each pixel to the eigen vectors. For example, the importance images related to the significance of eigen vector 1 are called here IMP111 and IMP112.



In reality it is more complicated than that, but to simplify, you can imagine that:

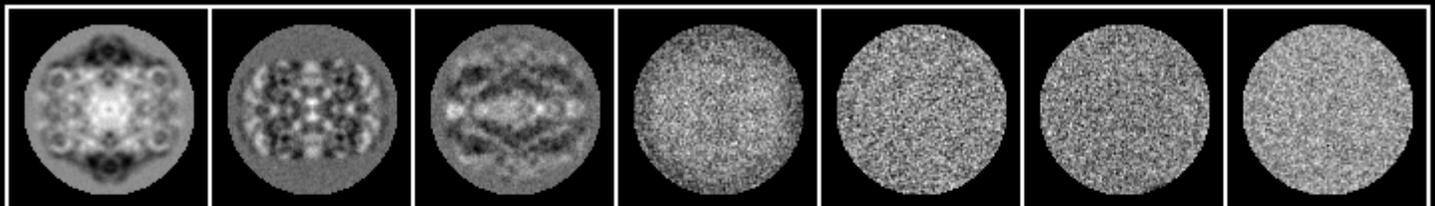
If you multiply the global average AVG004 by the importance image IMP111, you obtain the reconstitution REC111.

If you multiply the global average AVG004 by the importance image IMP112, you obtain the reconstitution REC112

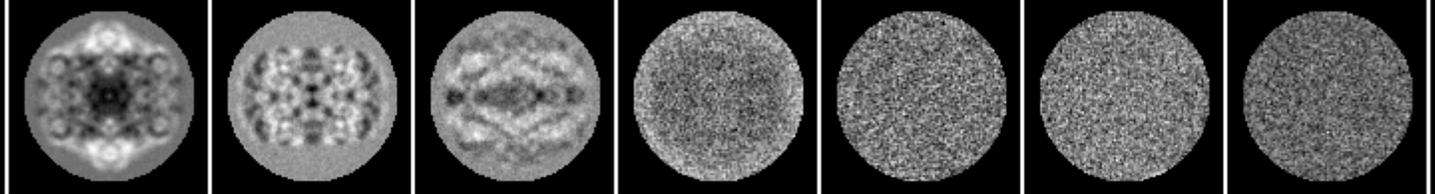
Brétaudière JP and Frank J (1986) Reconstitution of molecule images analyzed by correspondence analysis: A tool for structural interpretation. *J. Microsc.* **144**, 1-14.

Axis 1      Axis 2      Axis 3      Axis 4      Axis 5      Axis 6      Axis 7

Positive importance

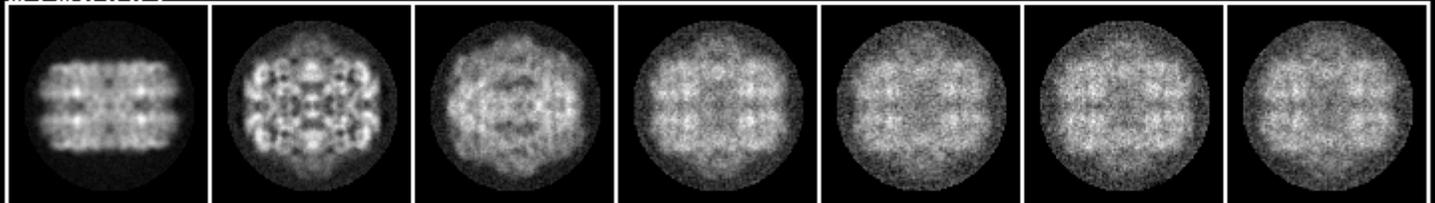


Negative importance

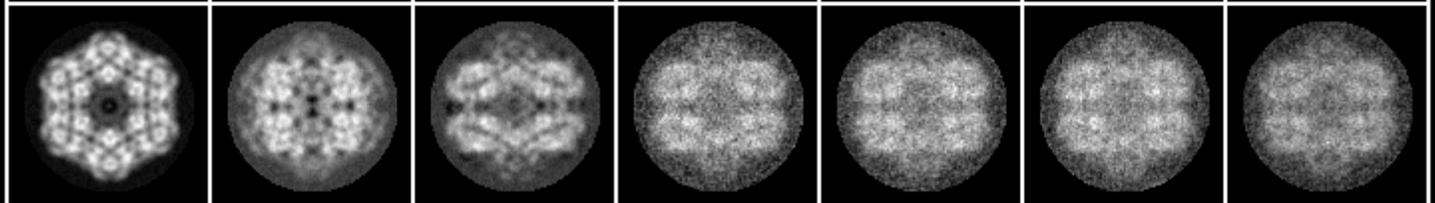


mimp001

Positive reconstitution

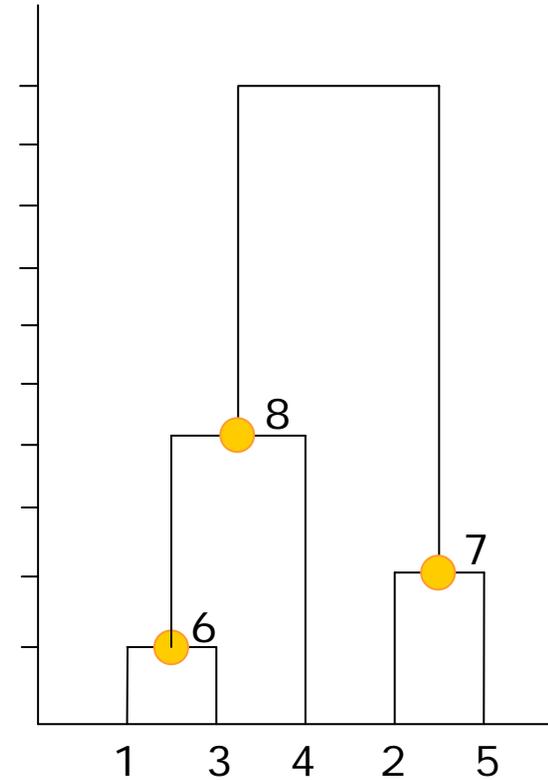
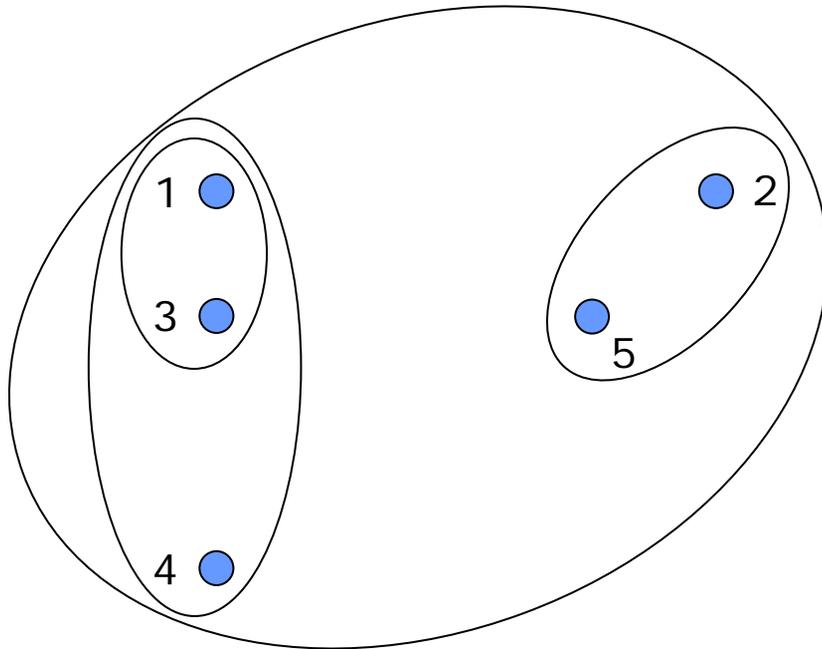
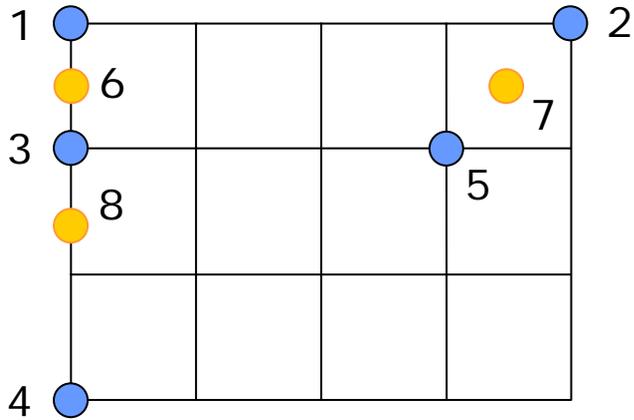


Negative reconstitution

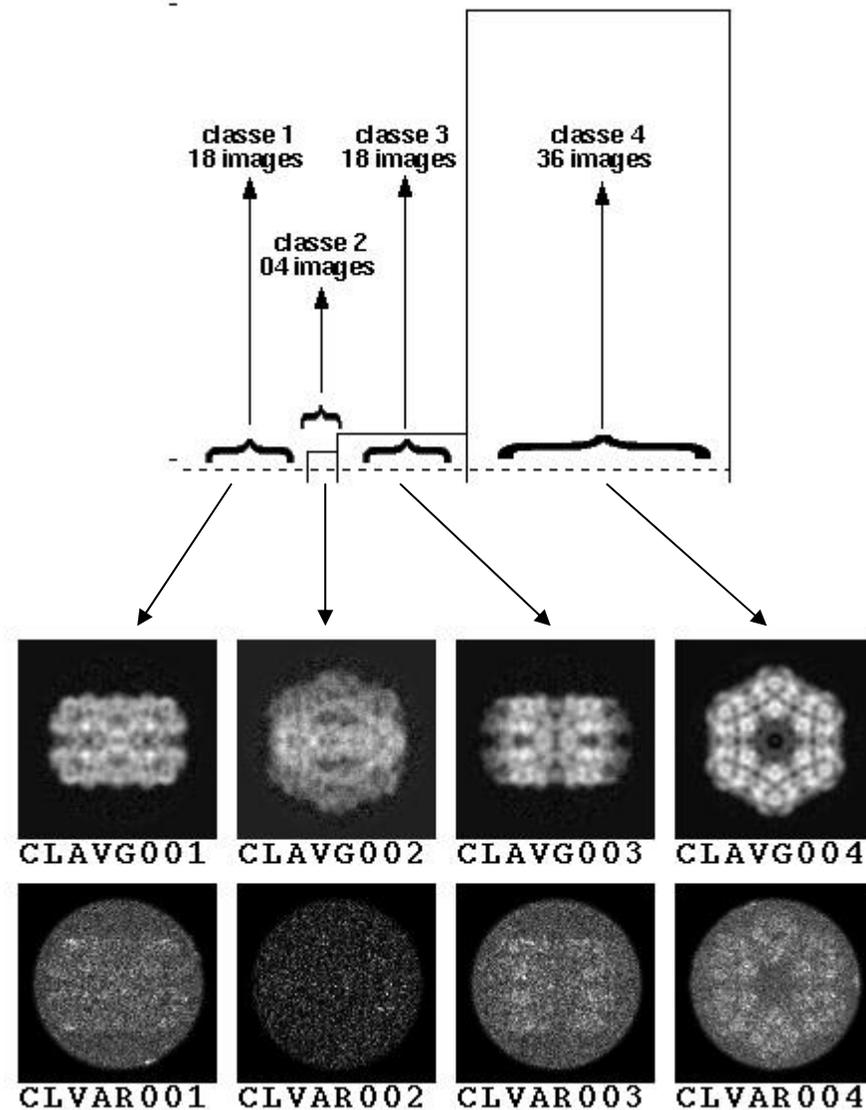


mrec001

# Classification Ascendante Hiérarchique

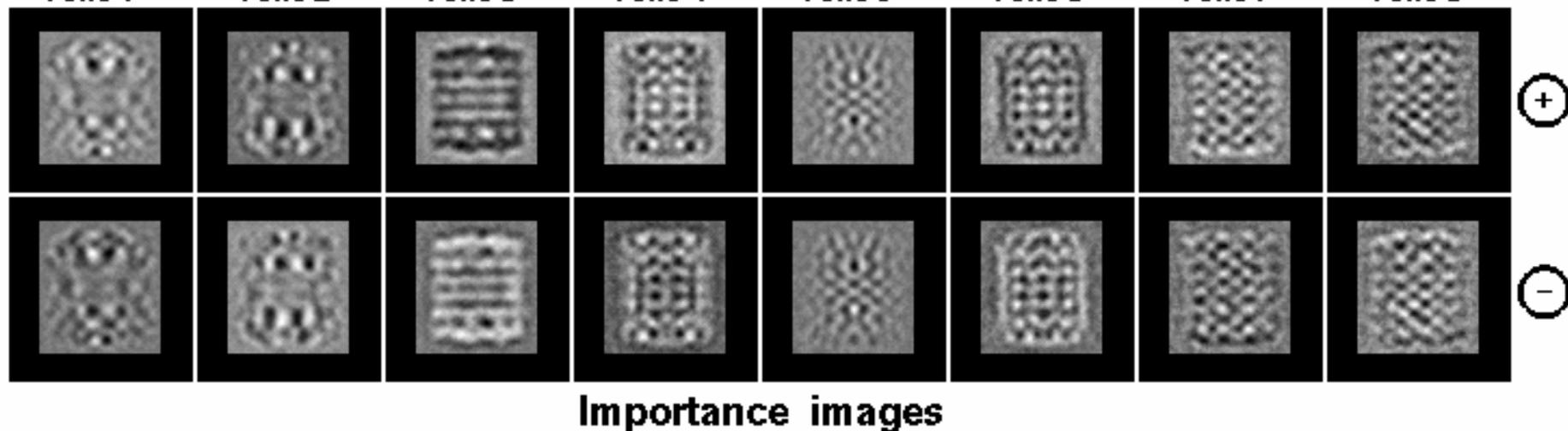
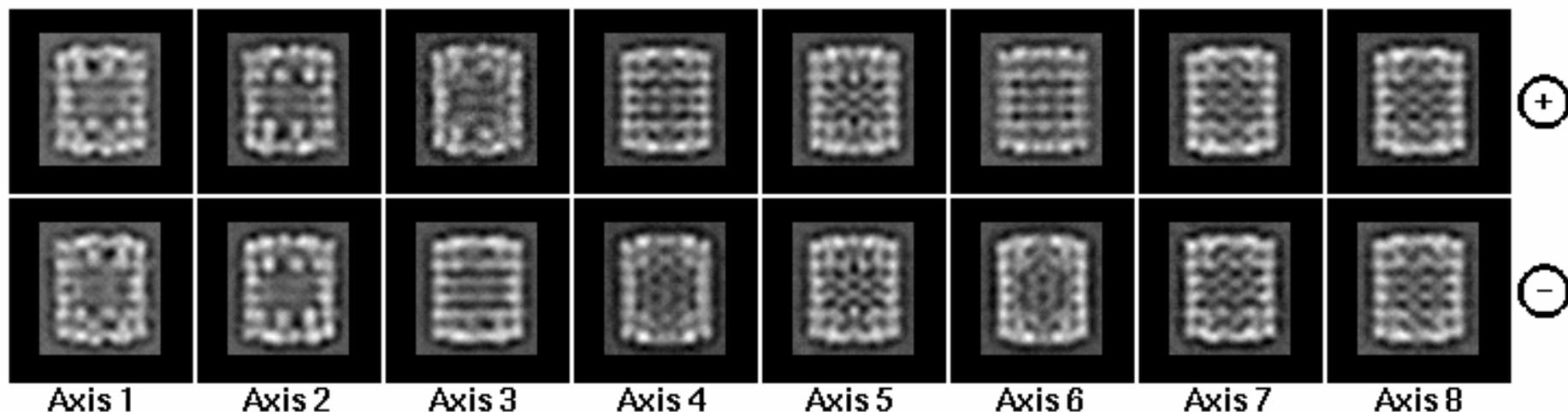


# Hierarchical ascendant classification

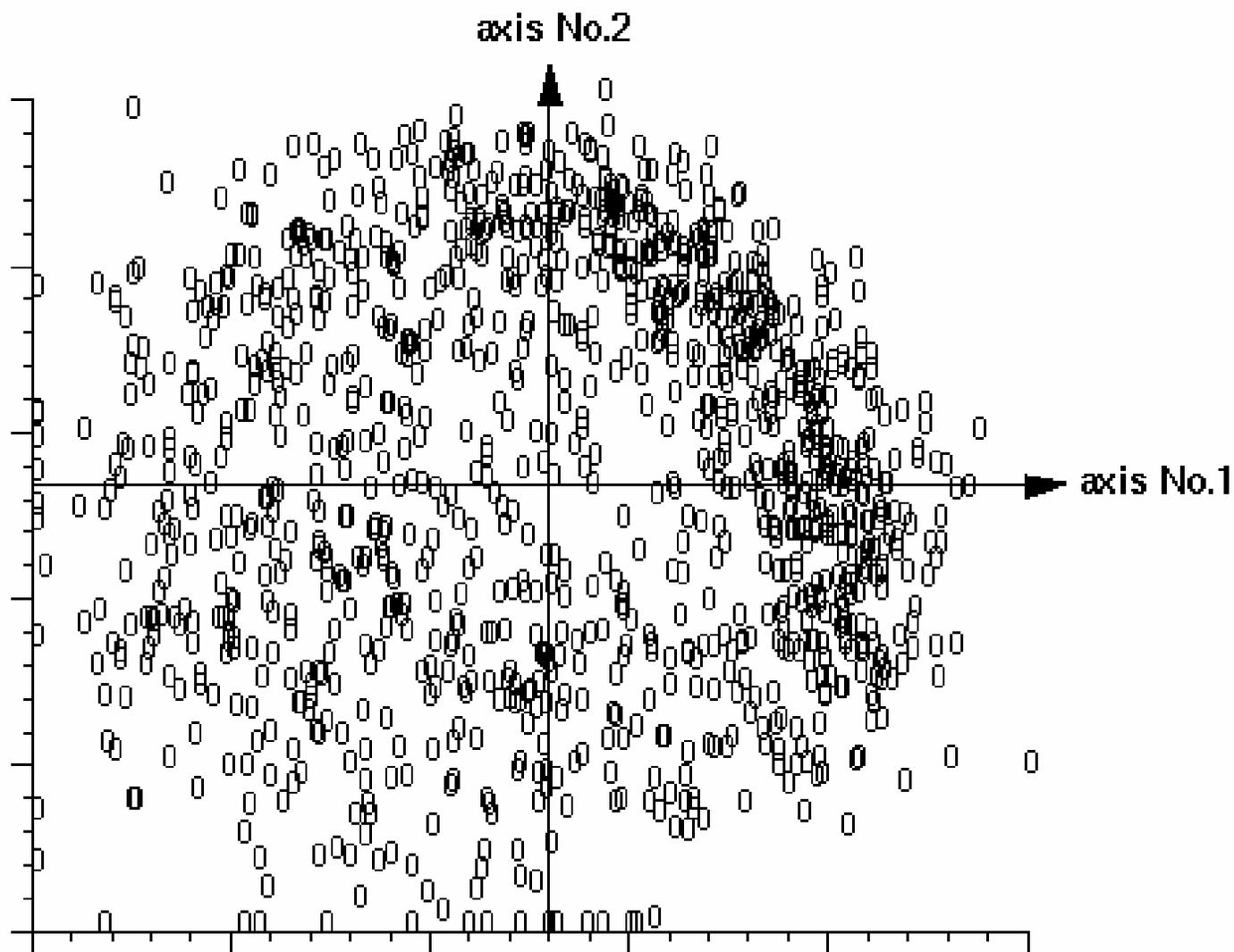


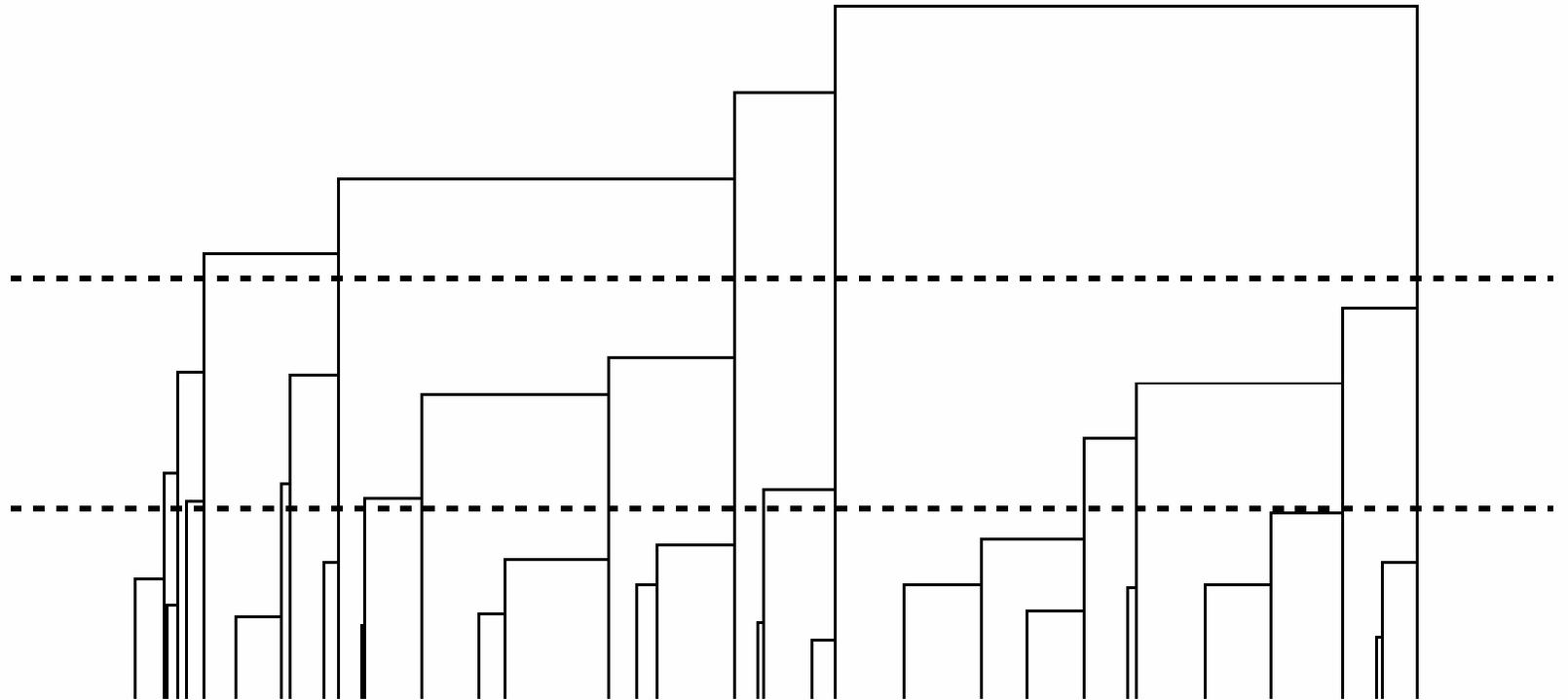
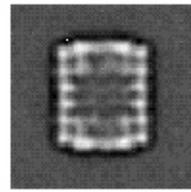
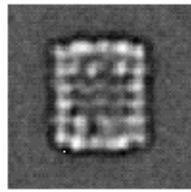
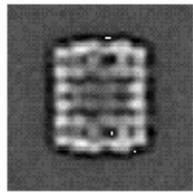
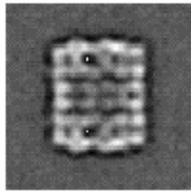
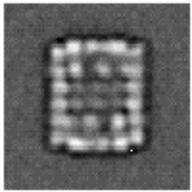
*Helix pomatia* hemocyanin

Reconstitution images

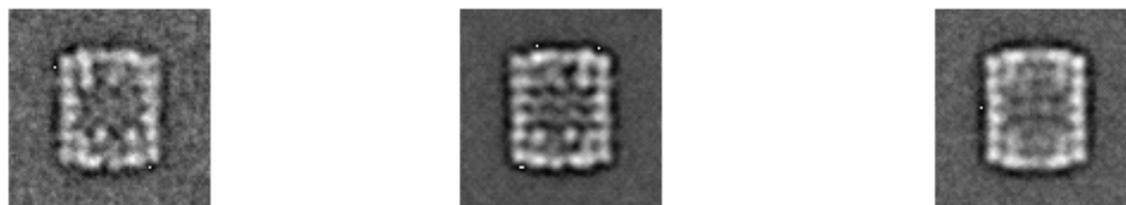
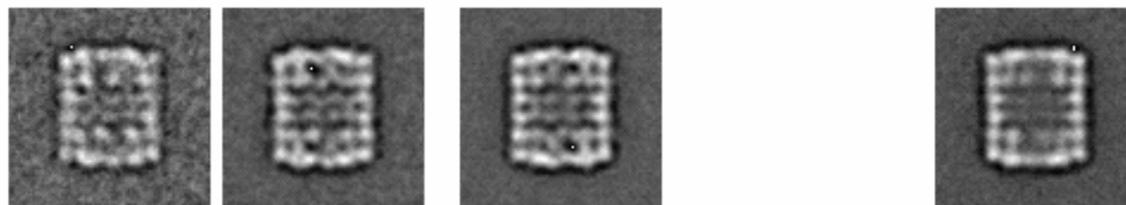
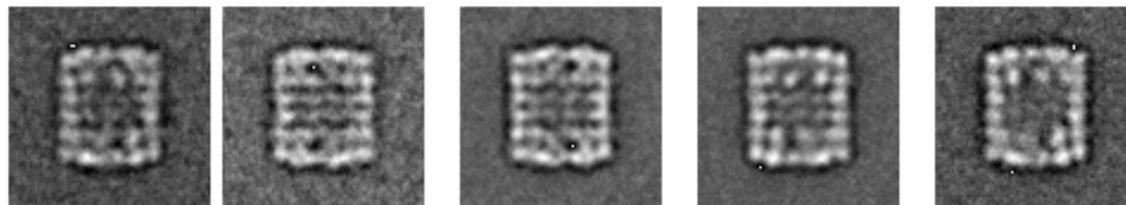
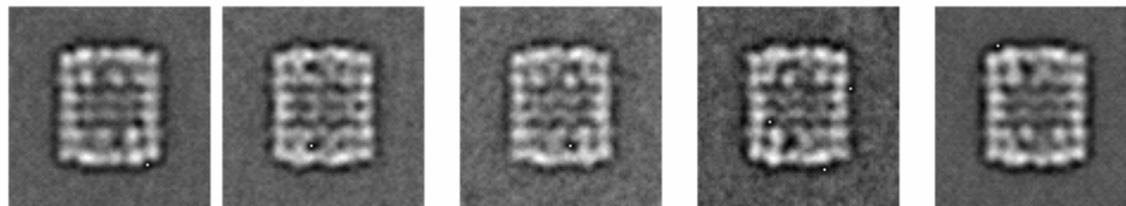
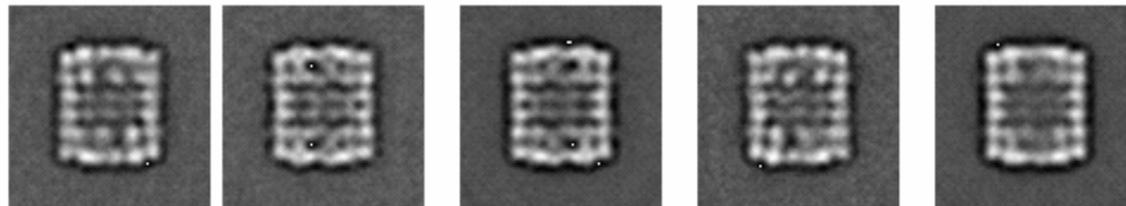
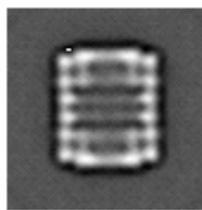


*Helix pomatia* hemocyanin

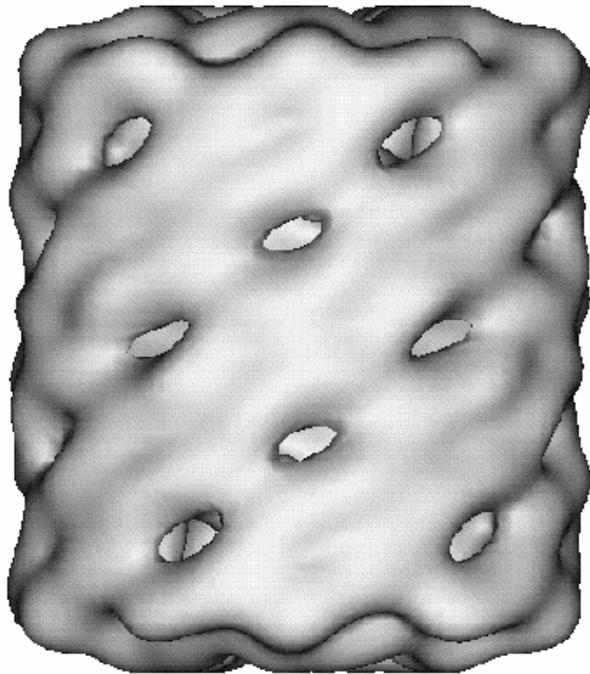




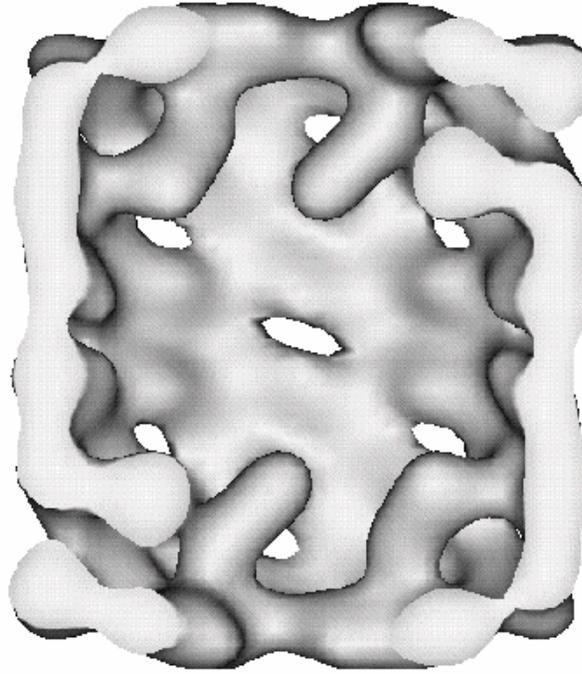
HAC of *Helix pomatia*  
hemocyanin



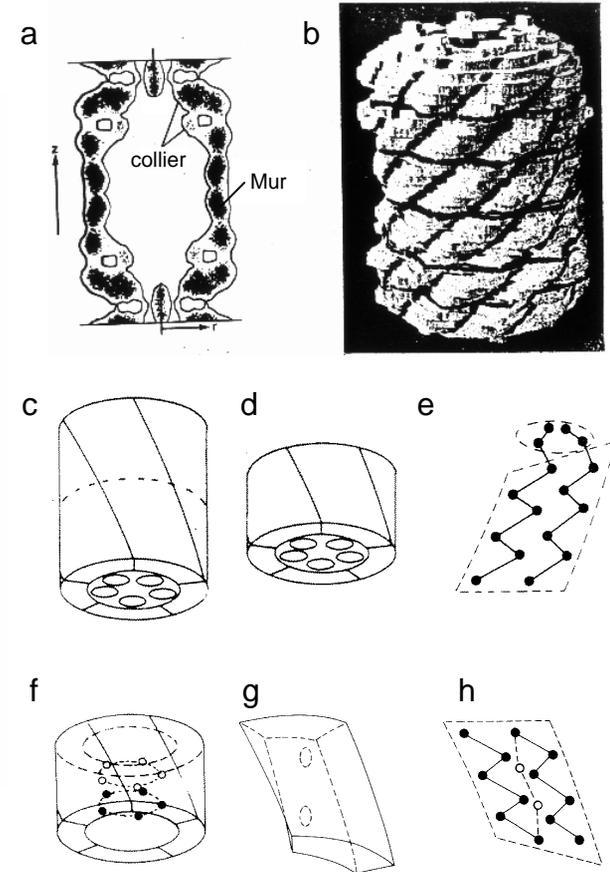
# Hémocyanine of *Helix pomatia*



External surface  
rendering



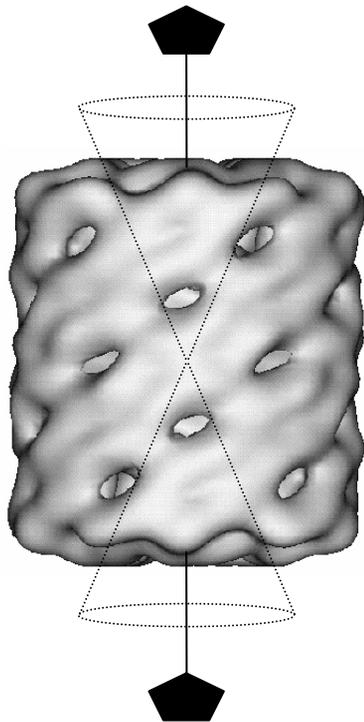
Central cavity



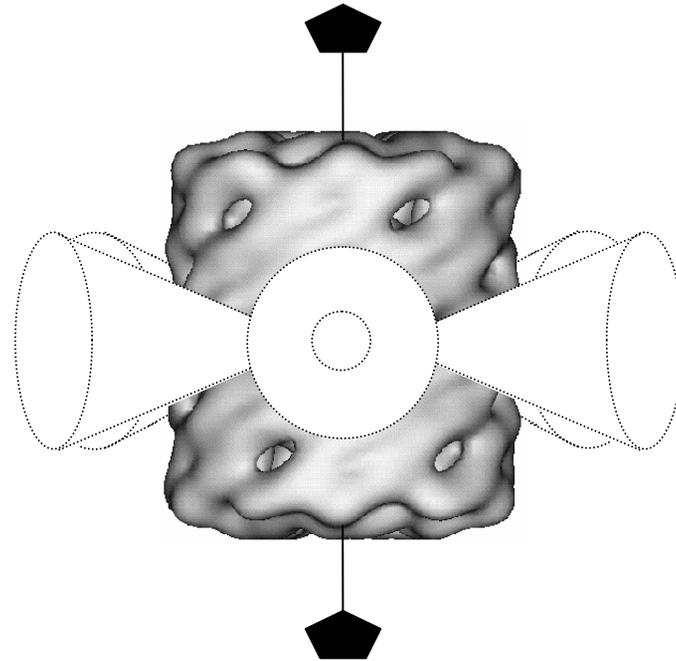
Model of Mellema & Klug (1972)

**Question : Which EM views would you use to suppress the missing cone while enforcing a  $D_5$  symmetry ?**

If  $0^\circ$  tilt images = TOP views, the missing cone axis is parallel to the five-fold axis of the cylinder.

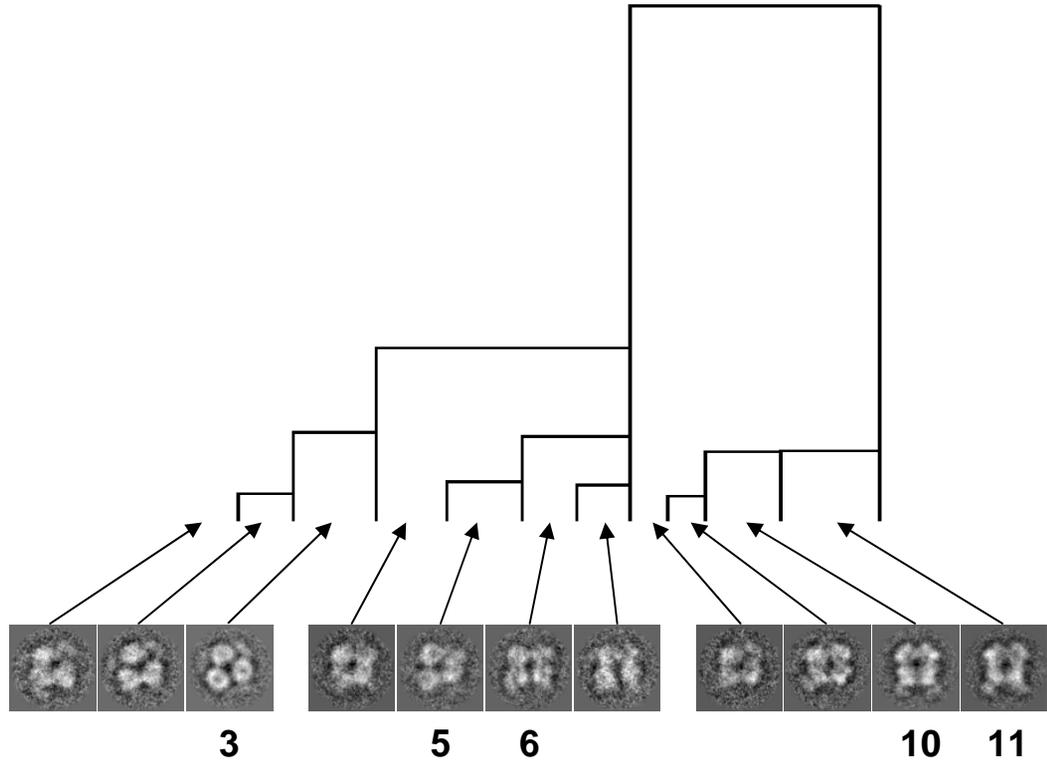
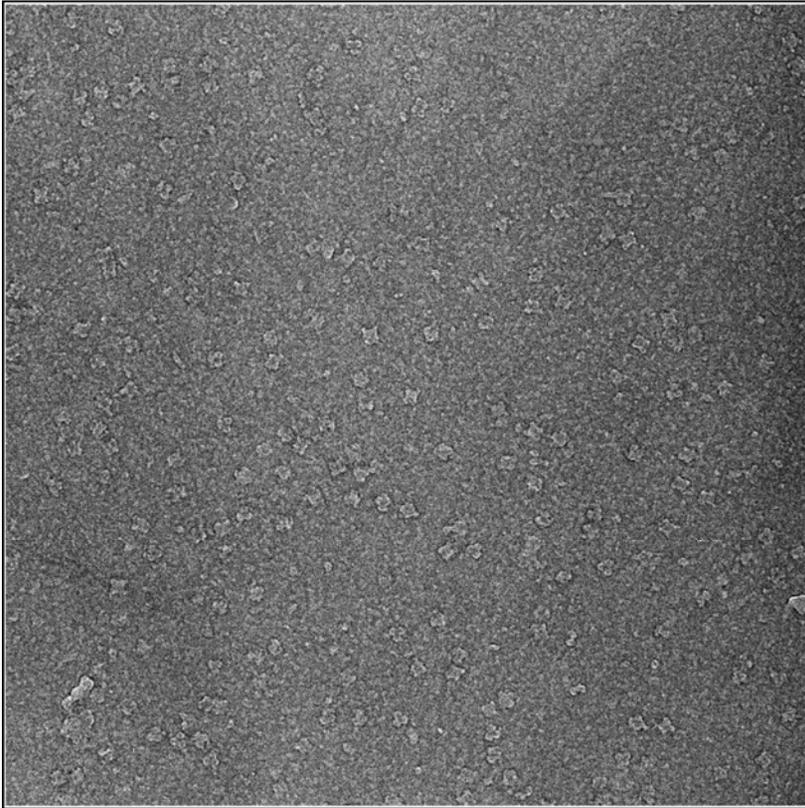


If  $0^\circ$  tilt images = SIDE views, the missing cone axis is orthogonal to the five-fold axis of the cylinder.



When enforcing symmetry  $D_5$  or  $C_5$ , you will fill up the missing cone of the SIDE views, but the missing cone of the TOP views will always superpose to itself and remain empty.

# How to merge volumes when you don't know if your structure has symmetries ?

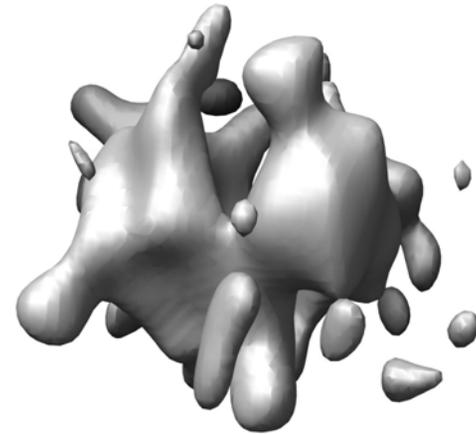


Poster of Magali Cotteville on the Glutamate synthase complexe.  
[magali.cotteville@impmc.jussieu.fr](mailto:magali.cotteville@impmc.jussieu.fr)

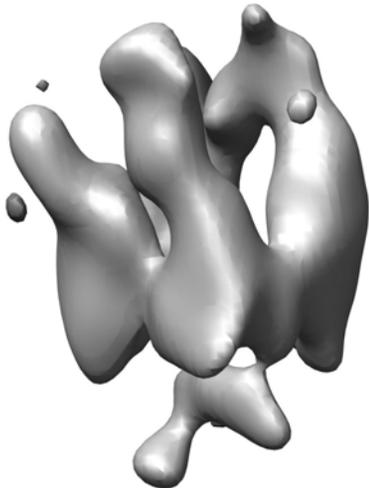
Aligning the first volumes with large missing cone artifact can be challenging if you don't enforce any symmetry.



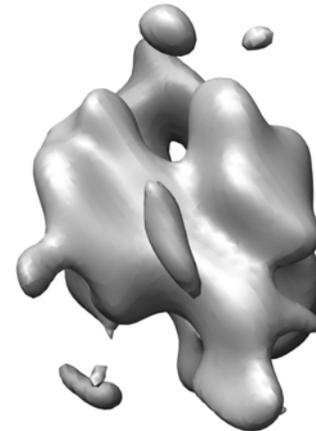
VCLA005 (48 images)



VCLA006 (35 images)

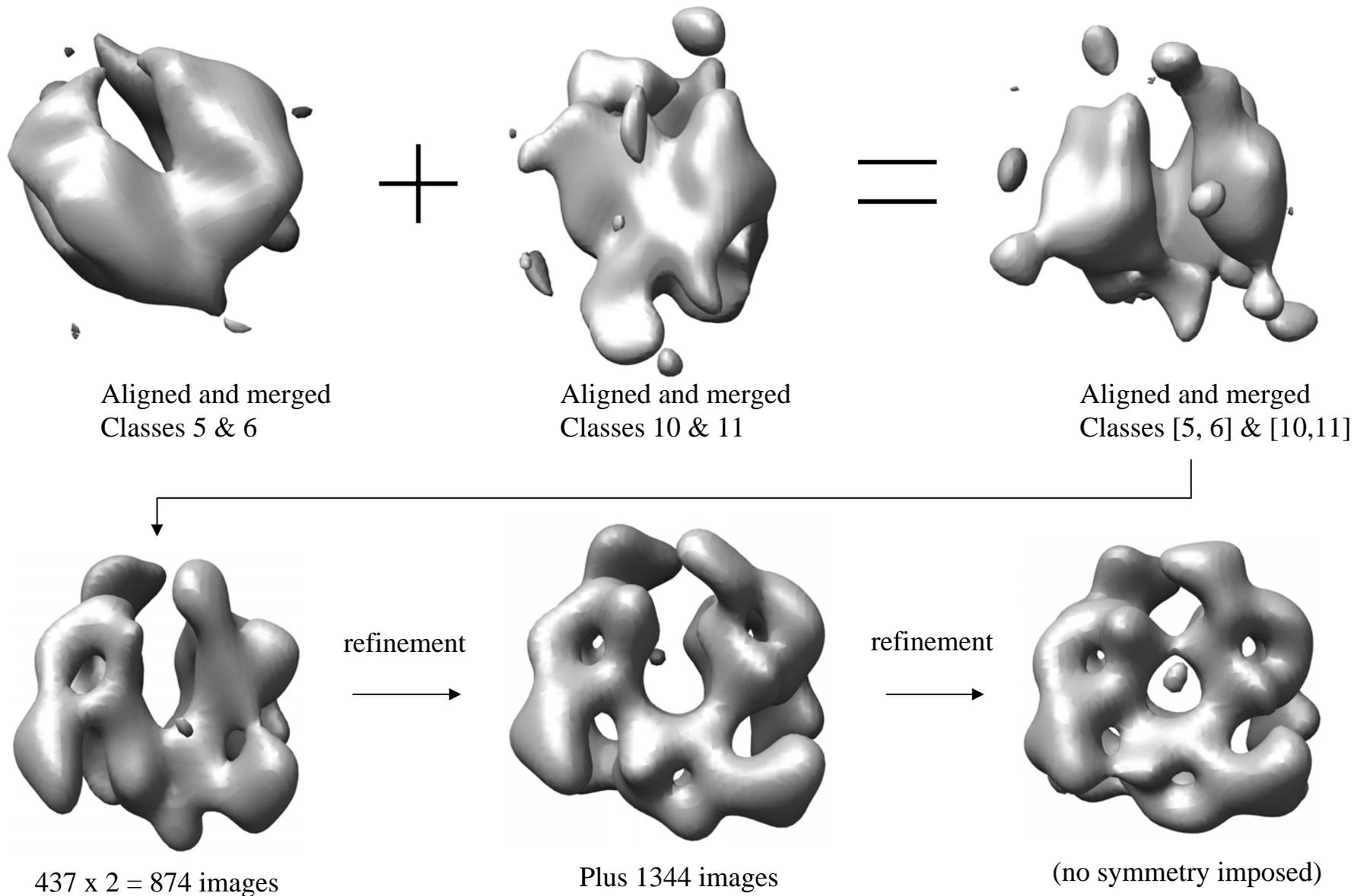


VCLA010 (48 images)

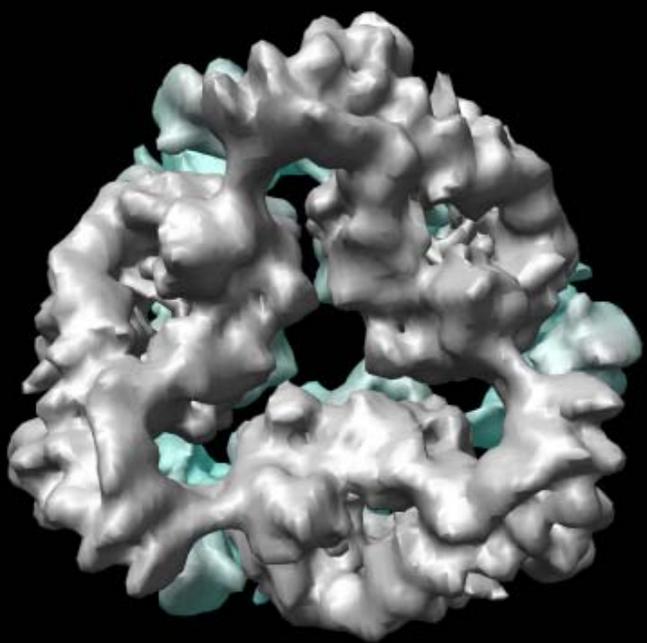


VCLA011 (63 images)

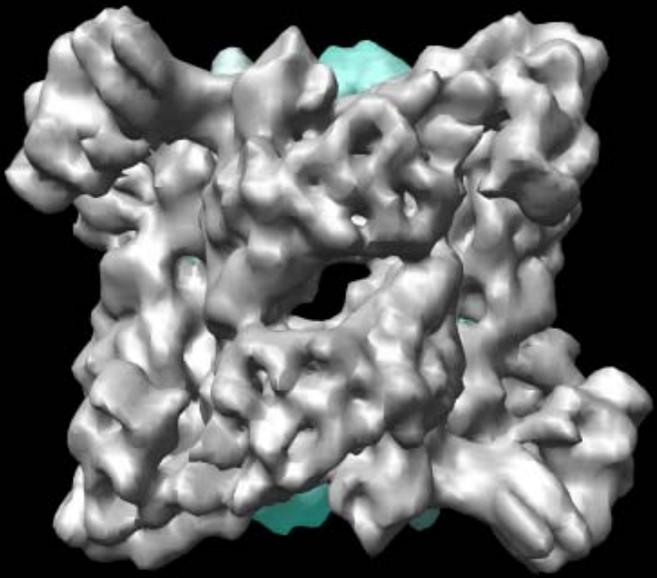
Successive merging of volumes by pairs of closely related EM views. The resulting volume was then used as a reference to align all available images (tilted and untilted-specimen images 437 x2 ). At last additional untilted-specimen images are added to the refinement process (1344 images).



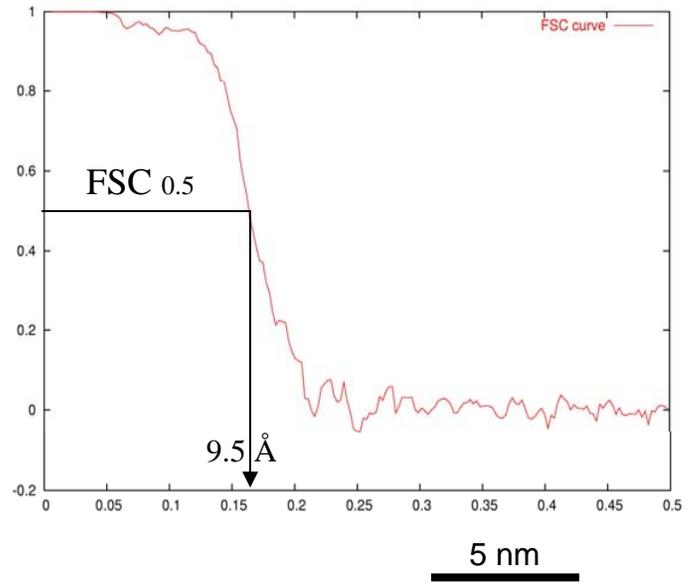
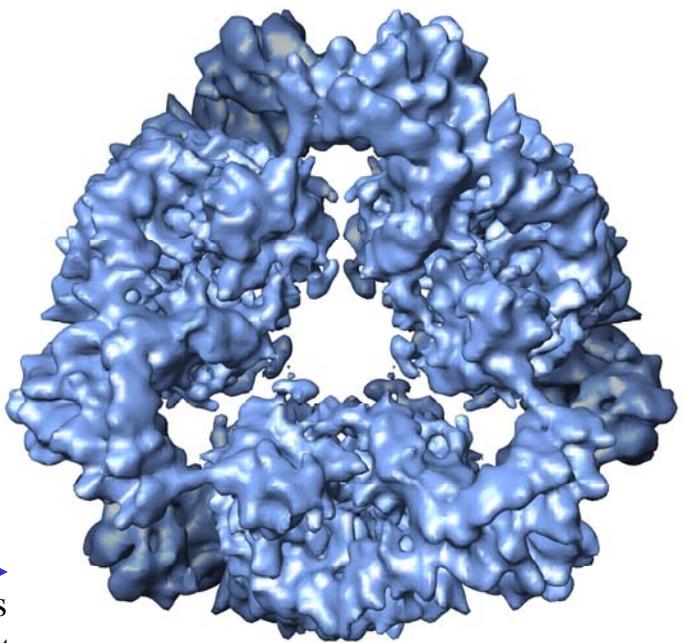
9.5 Å resolution volume



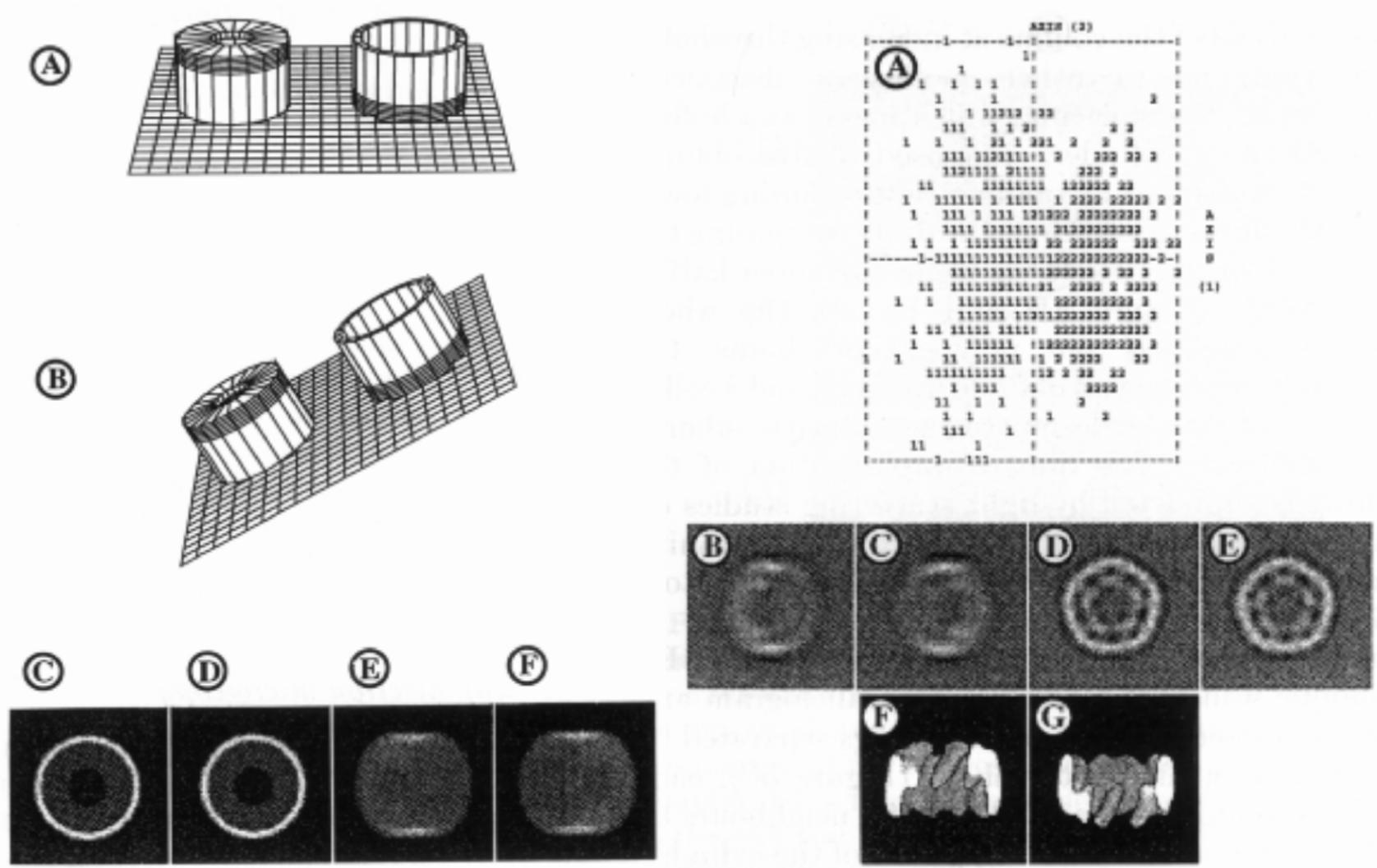
Amplitude corrected with SAXS data



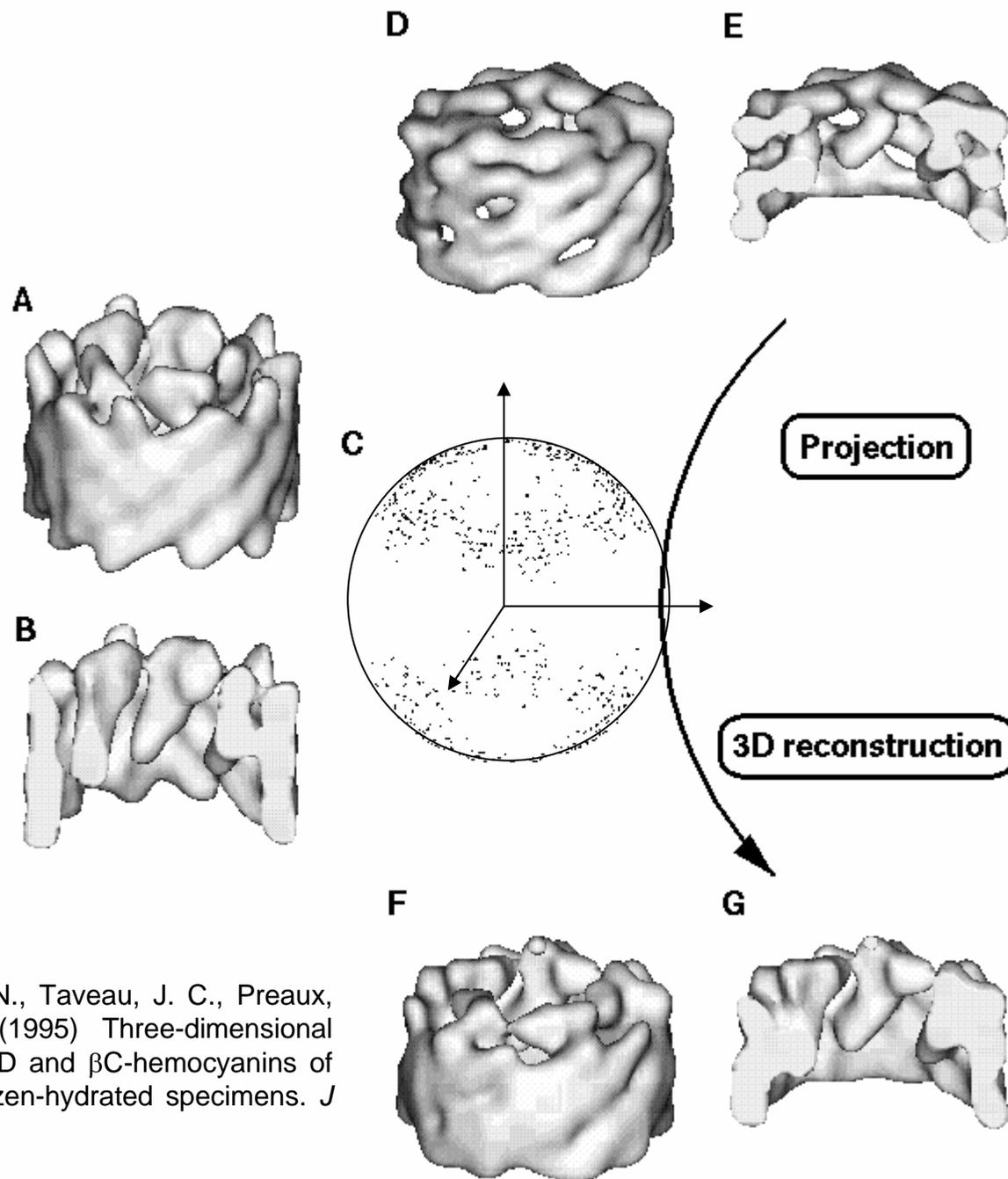
→  
more images  
refinement



# How to sort cylindrical particles with $C_n$ symmetry ?



Lambert, O., Boisset, N., Taveau, J. C. & Lamy, J. N. (1994) Three-dimensional reconstruction from a frozen-hydrated specimen of the chiton *Lepidochiton sp.* hemocyanin. *J Mol Biol* **244**, 640-7.

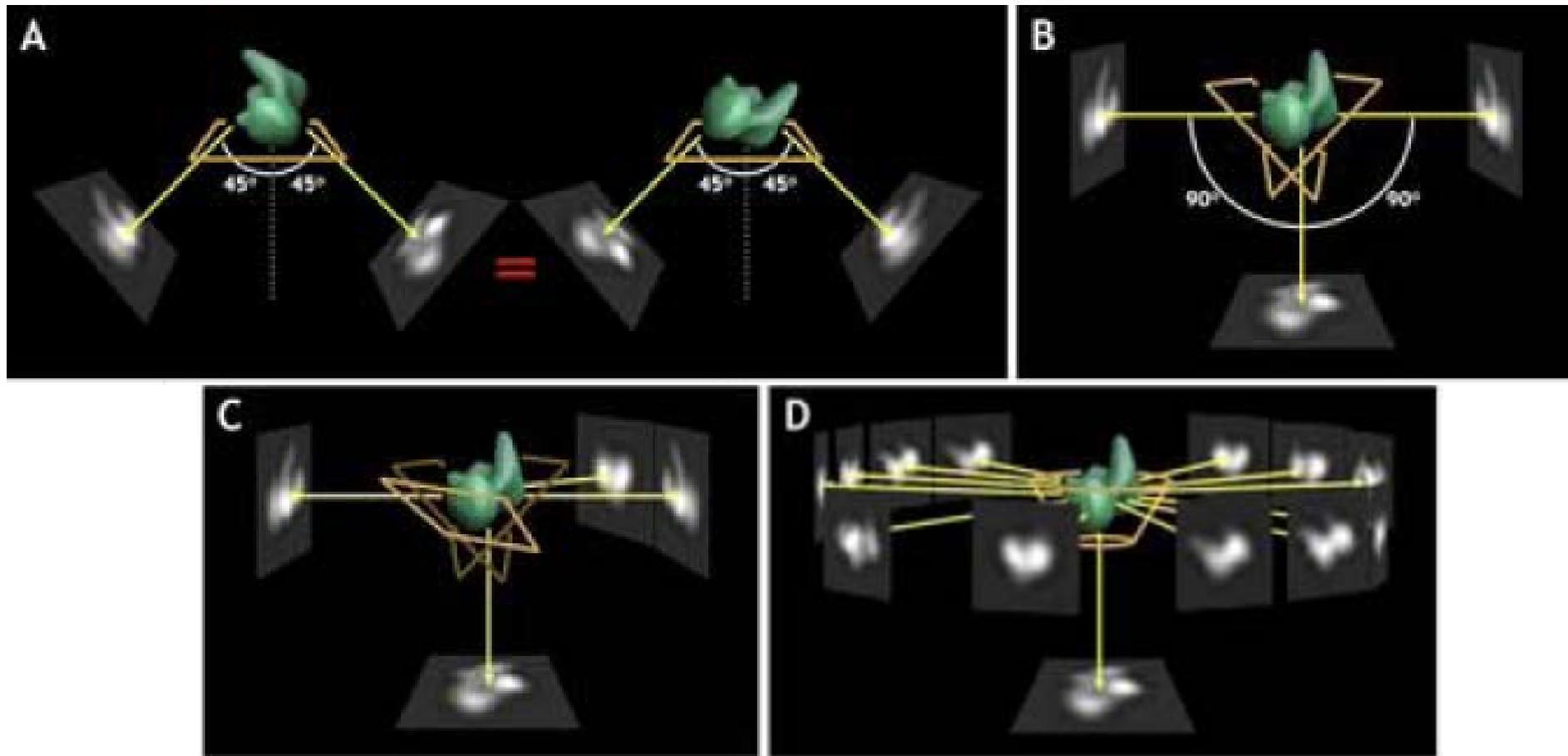


Lambert, O., Boisset, N., Taveau, J. C., Preaux, G. & Lamy, J. N. (1995) Three-dimensional reconstruction of the  $\alpha$ D and  $\beta$ C-hemocyanins of *Helix pomatia* from frozen-hydrated specimens. *J Mol Biol* **248**, 431-48.

# The Orthogonal tilt reconstruction method

Andres E. Leschziner & Eva Nogales

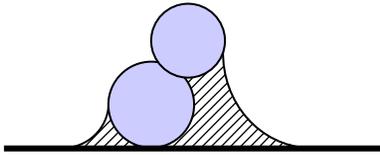
Two images are recorded with specimen tilts of  $-45^\circ$  and  $+45^\circ$



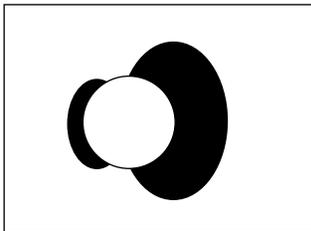
In this case you don't get a conical tilt but the equivalent of a  $360^\circ$  tomogram.

Why not use negatively stained images ?

Single layer negative staining technique

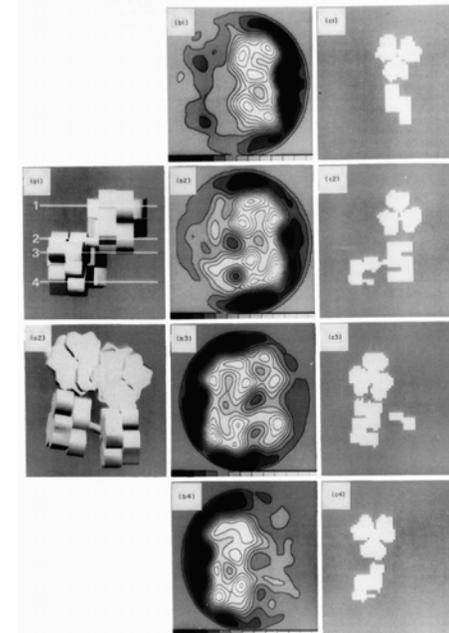
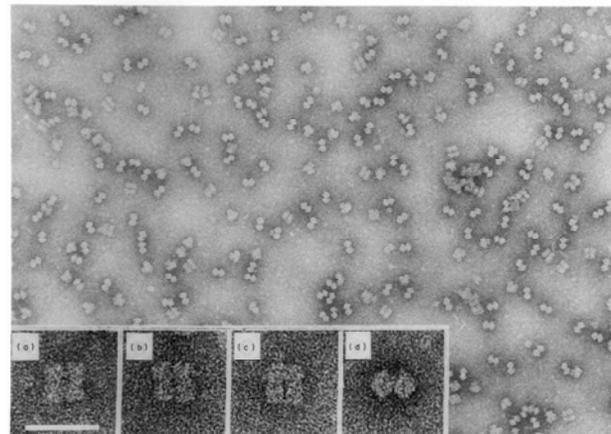
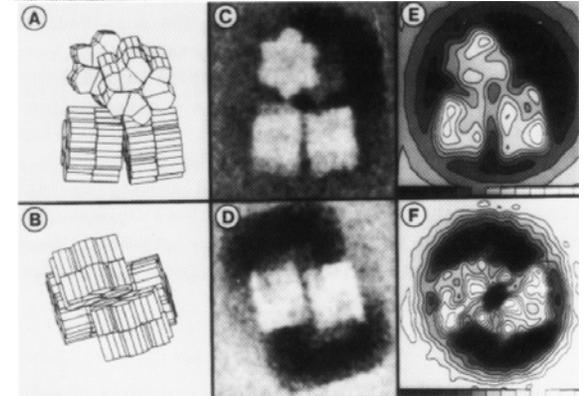
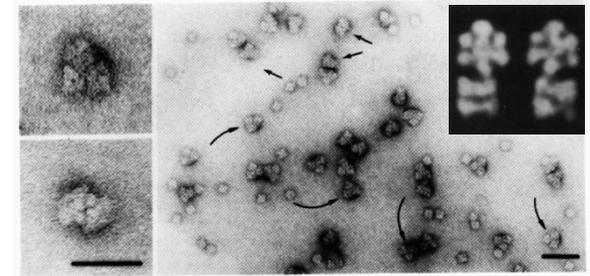
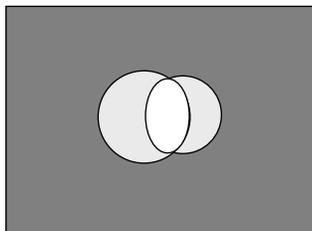
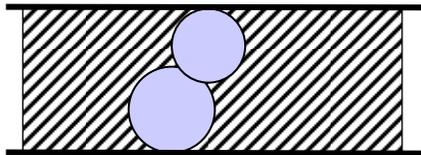


Small particles  
less than 400 kDa  
or hardly visible  
in cryoMET

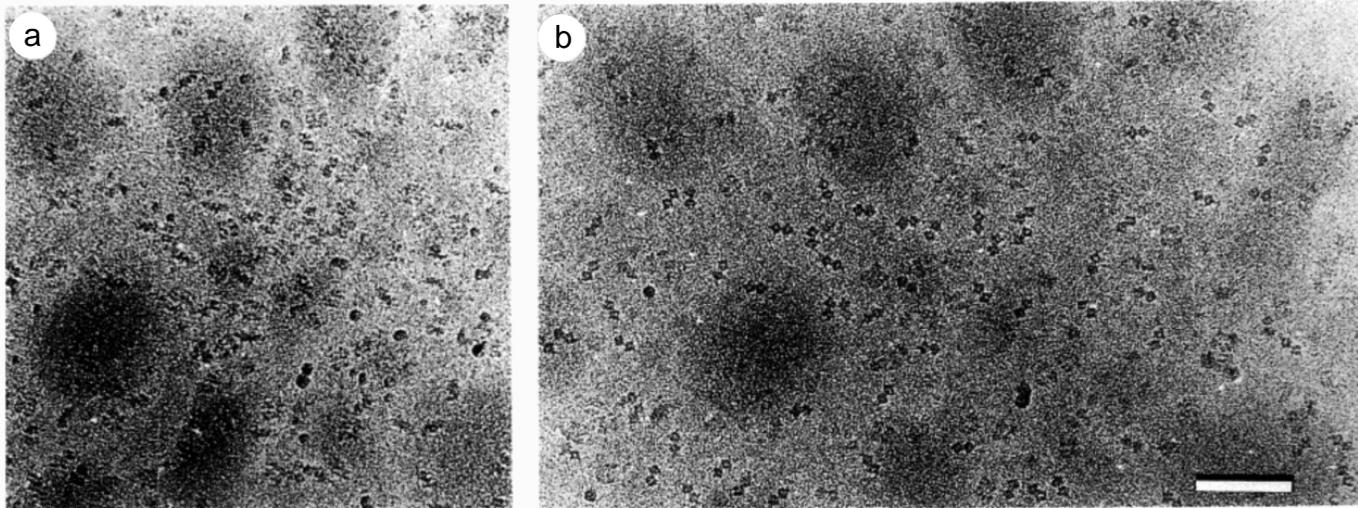
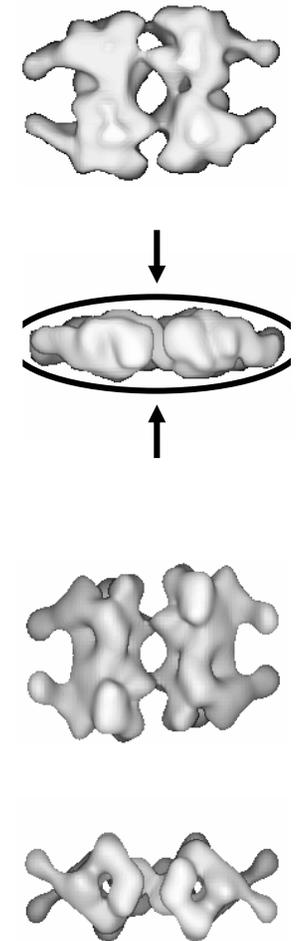
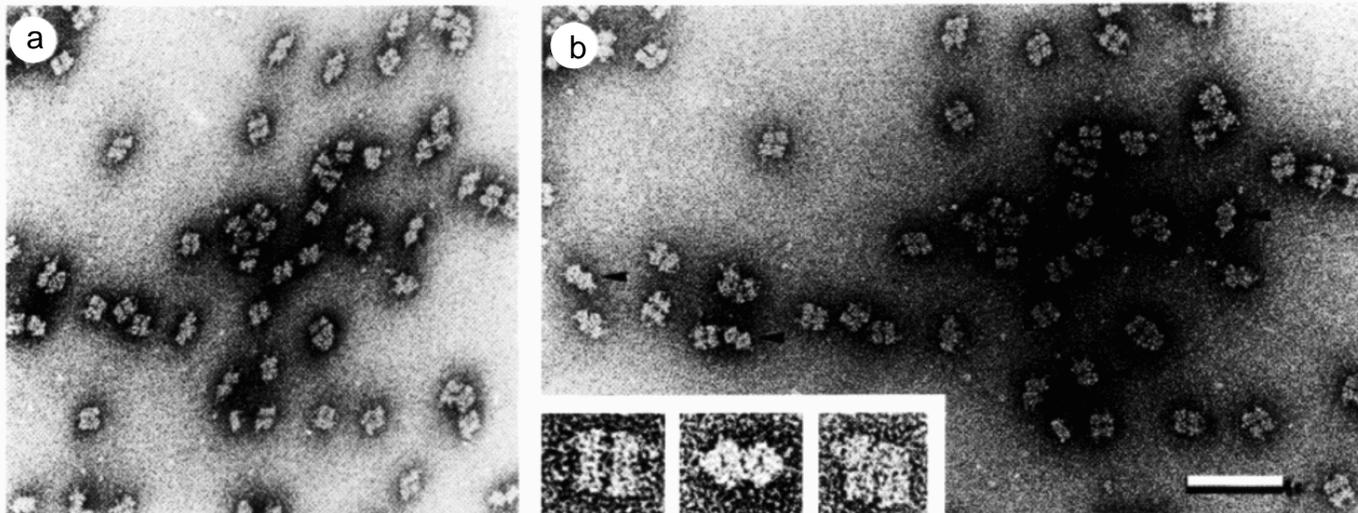


Avoid thin stain !

Use thick staining or  
double-layer negative staining  
or « sandwich » technique



# Double-layer negative staining technique



Frozen-hydrated sample

# Integration of 3D Cryo-EM in MSD & other data banks

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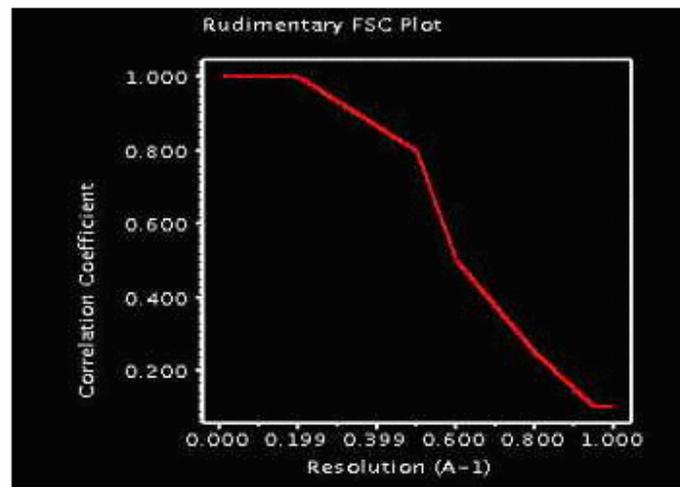


### 3) Uploading of Fourier Shell Correlation (FSC) data xml

FSC plots can now be uploaded as an xml format which must conform to the **EMD FSC schema**. A visual representation of this can be seen at: <http://www.ebi.ac.uk/msd/iims/visualFscSchema.html> or alternatively be downloaded from: [ftp://ftp.ebi.ac.uk/pub/databases/emdb/doc/XML-schema/emd\\_v1\\_0\\_fsc.xsd](ftp://ftp.ebi.ac.uk/pub/databases/emdb/doc/XML-schema/emd_v1_0_fsc.xsd).

An example FSC data plot which conforms to the EMD FSC schema may look like :

```
<?xml version="1.0" encoding="UTF-8"?>
<fsc title="Rudimentary FSC Plot"
      xaxis="Resolution (A-1)" yaxis="Correlation Coefficient">
  <coordinate>
    <x>0.01</x>
    <y>1.0</y>
  </coordinate>
  <coordinate>
    <x>0.2</x>
    <y>1.0</y>
  </coordinate>
  . . . . .
</fsc>
```



# references:

-Random conical tilt series (RCT) :

**Radermacher, M. (1988) Three-dimensional reconstruction of single particles from random and nonrandom tilt series. *J Electr.Microsc. Tech.* 9(4): 359-394.**

1. Check the Spider web site where tutorials are available,
2. or contact Michael Radermacher at University of Vermont (Burlington) .

- SIRT and alignment of 3D volumes :

Penczek, P.A., Grassucci, R.A., Frank, J. (1994) The ribosome at improved resolution: new techniques for merging and orientation refinement in 3D cryo-electron microscopy of biological particles. *Ultramicroscopy* **53**: 251–270.

- Review :

Sali A, Glaeser R, Earnest T, Baumeister W. (2003) From Words to literature in structural proteomics. *Nature* **422**(6928): 216-225.

- Orthogonal tilt reconstruction (OTR) :

Andres E. Leschziner & Eva Nogales (2005) The Orthogonal tilt reconstruction method: an approach to generating single-class volumes with no missing cone for ab initio reconstruction of asymmetric particles. *J. Struct. Biol* (in press).

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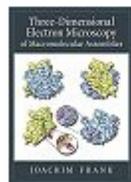
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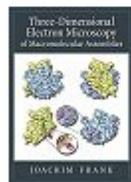
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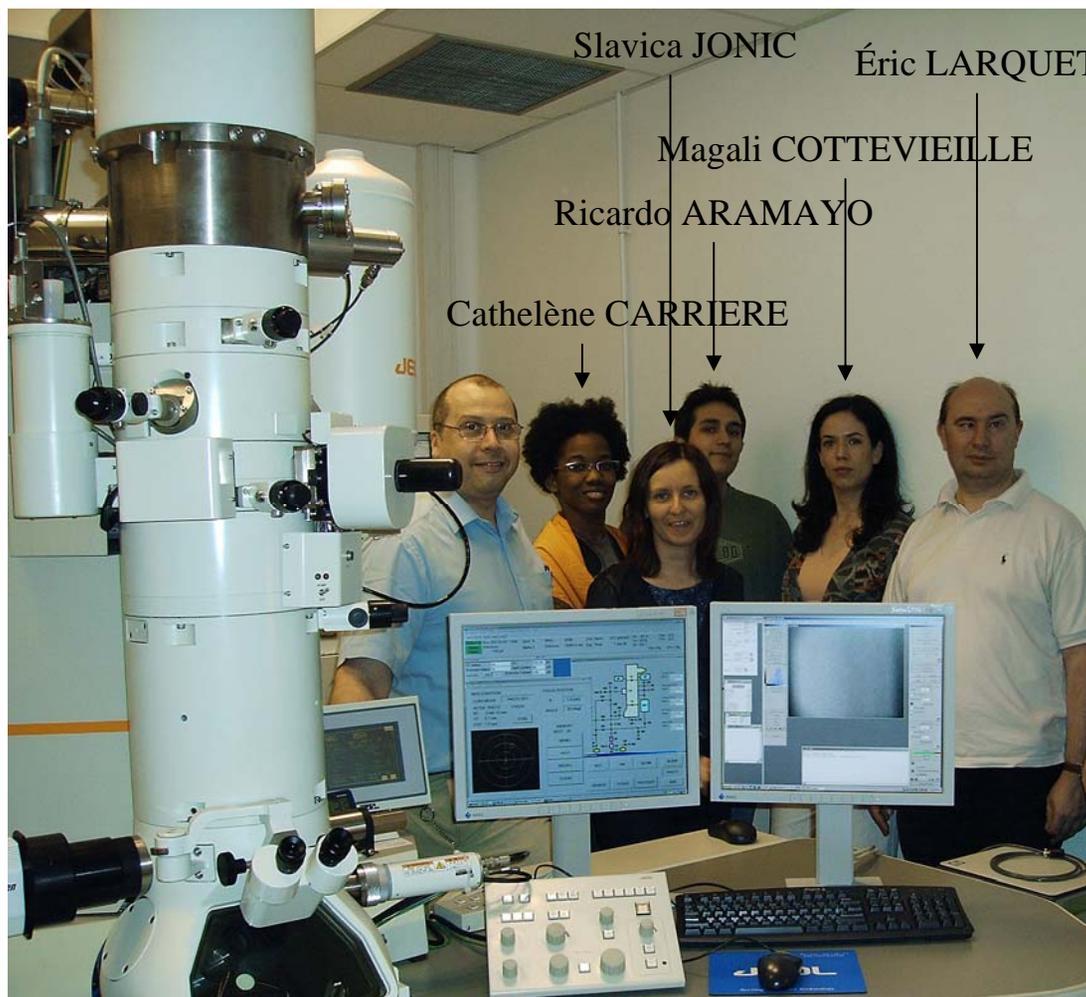
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# Acknowledgements:

Thank you for your attention !



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140 Rue de Lourmel, 75015 Paris, France.*